# Forms and currents on the analytification of an algebraic variety (after Chambert-Loir and Ducros)

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#### Abstract

Chambert-Loir and Ducros have recently introduced real differential forms and currents on Berkovich spaces. In these notes, we survey this new theory and we will compare it with tropical algebraic geometry.

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#### 1 Introduction

Antoine Chambert-Loir and Antoine Ducros have recently written the preprint "Formes différentielles réelles et courants sur les espaces de Berkovich" (see [CD12]). This opens the door for applying methods from differential geometry also at nonarchimedean places. We may think of possible applications for Arakelov theory or for non-archimedean dynamics. In the Arakelov theory developed by Gillet and Soulé [GS90], contributions of the p-adic places are described in terms of algebraic intersection theory on regular models over the valuation ring. The existence of such models usually requires the existence of resolution of singularities which is not known in general. Another disadvantage is that canonical metrics of line bundles on abelian varieties with bad reduction can not be described in terms of models. In the case of curves, there is an analytic description of Arakelov theory also at finite places due to Chinburgh–Rumely [CR93], Thuillier [Th05] and Zhang [Zh93]. Now the paper of Chambert-Loir and Ducros provides us with an analytic formalism including (p,q)-forms, currents and differential operators d',d'' such that the crucial Poincaré-Lelong equation holds. This makes hope that we get also an analytic description of the p-adic contributions in Arakelov theory. In Amaury Thuillier's thesis [Th05], he has given a non-archimedean potential theory on curves. For the case of the projective line, we refer to the book of Baker and Rumely [BR10] with various applications to non-archimedean dynamics. Again, we may hope to use the paper of Chambert-Loir and Ducros to give generalizations to higher dimensions.

The purpose of the present paper is to summarize the preprint [CD12] and to compare it with tropical algebraic geometry. We will assume that K is an algebraically closed field endowed with a (non-trivial) complete non-archimedean absolute value  $| \ |$ . Let  $v := -\log | \ |$  be the corresponding valuation and let  $\Gamma := v(K^{\times})$  be the value group. Note that the residue field  $\tilde{K}$  is also algebraically closed. For the sake of simplicity, we will restrict mostly to the case of an algebraic variety X over K. In this case, there is quite an easy description of the associated analytic space  $X^{\rm an}$  and so we require less knowledge about the theory of Berkovich analytic spaces than in [CD12]. The main idea is quite simple: Suppose that X is an n-dimensional closed subvariety of the split multiplicative torus  $T = \mathbb{G}_m^r$ . Then there is a tropicalization map trop :  $T^{\rm an} \to \mathbb{R}^r$ . Roughly speaking, the map is given by applying the valuation v to the coordinates of the points. Tropical geometry says

that the tropical variety  $\operatorname{Trop}(X) := \operatorname{trop}(X^{\operatorname{an}})$  is a weighted polyhedral complex of pure dimension n satisfying a certain balancing condition. The thesis of Lagerberg [La12] gives a formalism of (p,q)-superforms on  $\mathbb{R}^r$  together with differential operators d',d'' similar to  $\partial,\bar{\partial}$  in complex analytic geometry. Using the tropicalization map, we have a pull-back of these forms and differential operators to  $X^{\operatorname{an}}$ . In general, we may cover an arbitrary algebraic variety X of pure dimension n by very affine open charts U which means that U has a closed immersion to  $\mathbb{G}^r_m$  and we may apply the above to define (p,q)-forms and currents on  $X^{\operatorname{an}}$ . Chambert-Loir and Ducros prove that there is an integration of compactly supported (n,n)-forms on  $X^{\operatorname{an}}$  with the formula of Stokes and the Poincaré–Lelong formula. The main result of the paper [CD12] is that the non-archimedean Monge-Ampère measures, which were introduced by Chambert-Loir [Ch06] directly as Radon measures on  $X^{\operatorname{an}}$ , may be written as an n-fold wedge product of first Chern currents. We will focus in this paper on the basics and so we will omit a description of this important result here.

#### Terminology

In  $A \subset B$ , A may be equal to B. The complement of A in B is denoted by  $B \setminus A$  as we reserve — for algebraic purposes. The zero is included in  $\mathbb{N}$  and in  $\mathbb{R}_+$ .

All occurring rings and algebras are with 1. If A is such a ring, then the group of multiplicative units is denoted by  $A^{\times}$ . A variety over a field is an irreducible separated reduced scheme of finite type. We denote by  $\overline{F}$  an algebraic closure of the field F.

The terminology from convex geometry is introduced in §2 and §3. Note that polytopes and polyhedra are assume to be convex.

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# **2** Superforms and supercurrents on $\mathbb{R}^r$

In this section, we recall the construction of superforms and supercurrents introduced by Lagerberg (see [La12], §2). They are real analogues of complex (p, q)-forms or currents on  $\mathbb{C}^r$ . So let us first recall briefly the definitions in complex analytic geometry. On  $\mathbb{C}^r$ , we have the holomorphic coordinates  $z_1, \ldots, z_r$ . A (p, q)-form  $\alpha$  is given by

$$\alpha = \sum_{I,J} \alpha_{IJ} dz_I \wedge d\overline{z}_J,$$

where I (resp. J) ranges over all subsets of  $\{1,\ldots,r\}$  of cardinality p (resp. q) and where the  $\alpha_{IJ}$  are smooth functions. Here, use the convenient notation  $dz_I := dz_{i_1} \wedge \cdots \wedge dz_{i_p}$  and  $d\overline{z}_J := d\overline{z}_{j_1} \wedge \cdots \wedge d\overline{z}_{j_q}$  for the elements  $i_1 < \cdots < i_p$  of I and  $j_1 < \cdots < j_q$  of J. We have linear differential operators d', d'' and d = d' + d'' on differential forms which are determined by the rules

$$d'f = \sum_{i=1}^{r} \frac{\partial f}{\partial z_i} dz_i, \quad d''f = \sum_{j=1}^{r} \frac{\partial f}{\partial \overline{z}_j} d\overline{z}_j$$

for smooth complex functions f on  $\mathbb{C}^r$ . Very often, these differential operators are denoted by  $\partial := d'$  and  $\bar{\partial} := d''$ . A current is a continuous linear functional on the space of differential forms on  $\mathbb{C}^r$ . Continuity is with respect to uniform convergence of finitely many derivatives on compact subsets. Differential forms may be viewed as

currents using integration and the differential operators d, d', d'' extend to currents. For details, we refer to [De12], Chapter I, or to [GH78].

The goal of this section is to give a real analogue in the following setting: Let N be a free abelian group of rank r with dual abelian group  $M := \operatorname{Hom}(N, \mathbb{Z})$ . For convenience, we choose a basis  $e_1, \ldots, e_r$  of N leading to coordinates  $x_1, \ldots, x_r$  on  $N_{\mathbb{R}}$ . Our constructions will depend only on the underlying real affine structure and the integration at the end will depend on the underlying integral  $\mathbb{R}$ -affine structure, but not on the choice of the coordinates. Here, an integral  $\mathbb{R}$ -affine space is a real affine space whose underlying real vector space has an integral structure, i.e. it comes with a complete lattice. The definition of the integrals in [CD12] does use calibrations which makes the integrals in some sense unnatural. In the case of an underlying canonical integral structure (which is the case for tropicalizations), there is a canonical calibration (as in [CD12], §3.5) and both definitions of the integrals are the same.

**2.1** Let  $A^k(U,\mathbb{R})$  be the space of smooth real differential forms on an open subset U of  $N_{\mathbb{R}}$ , then a superform of bidegree (p,q) on U is an element of

$$A^{p,q}(U) := A^p(U,\mathbb{R}) \otimes_{C^{\infty}(U)} A^q(U,\mathbb{R}) = C^{\infty}(U) \otimes_{\mathbb{Z}} \Lambda^p M \otimes_{\mathbb{Z}} \Lambda^q M.$$

Formally, such a superform  $\alpha$  may be written as

$$\alpha = \sum_{|I|=p, |J|=q} \alpha_{IJ} d' x_I \wedge d'' x_J$$

where I (resp. J) consists of  $i_1 < \cdots < i_p$  (resp.  $j_1 < \cdots < j_q$ ),  $\alpha_{IJ} \in C^{\infty}(U)$  and

$$d'x_I \wedge d''x_J := (dx_{i_1} \wedge \cdots \wedge dx_{i_p}) \otimes (dx_{j_1} \wedge \cdots \wedge dx_{j_q}).$$

The wedge product is defined in the usual way on the space of superforms  $A(U) := \bigoplus_{p,q \leq n} A^{p,q}(U)$ . There is a canonical  $C^{\infty}(U)$ -linear isomorphism  $J^{p,q} : A^{p,q}(U) \to A^{q,p}(U)$  obtained by switching factors in the tensor product. The inverse of  $J^{p,q}$  is  $J^{q,p}$ . We call  $\alpha \in A^{p,p}(U)$  symmetric if  $J^{p,p}\alpha = \alpha$ .

**2.2** There is a differential operator  $d': A^{p,q}(U) \to A^{p+1,q}(U)$  given by

$$d'\alpha := \sum_{|I|=p, |J|=q} \sum_{i=1}^{r} \frac{\partial \alpha_{IJ}}{\partial x_i} d'x_i \wedge d'x_I \wedge d''x_J.$$

This does not depend on the choice of coordinates as  $d' = d \otimes \operatorname{id}$  on  $A^{p,q}(U) = A^p(U,\mathbb{R}) \otimes_{\mathbb{Z}} \Lambda^q M$  is an intrinsic characterization using the classical differential d on the space  $A^p(U,\mathbb{R})$  of real smooth p-forms. Similarly, we define a differential operator  $d'': A^{p,q}(U) \to A^{p,q+1}(U)$  by

$$d''\alpha := \sum_{|I|=p, |J|=q} \sum_{j=1}^{r} \frac{\partial \alpha_{IJ}}{\partial x_j} d''x_j \wedge d'x_I \wedge d''x_J.$$

By linearity, we extend these differential operators to A(U). Moreover, we set d := d' + d''.

**2.3** If N' is a free abelian group of rank r' and if  $F: N'_{\mathbb{R}} \to N_{\mathbb{R}}$  is an affine map with  $F(V) \subset U$  for an open subset V of  $N'_{\mathbb{R}}$ , then we have a well-defined pull-back  $F^*: A^{p,q}(U) \to A^{p,q}(V)$  given as usual. The affine pull-back commutes with the differential operators d, d' and d''.

**2.4** Let  $A_c(U)$  denote the space of superforms on U with compact support in U. For  $\alpha \in A_c(U)$ , we define

$$\int_{U} \alpha := (-1)^{\frac{r(r-1)}{2}} \int_{U} \alpha_{LL} dx_1 \wedge \dots \wedge dx_r$$

with  $L = \{1, ..., r\}$  and the usual integration of r-forms with respect to the orientation induced by the choice of coordinates on the right hand side. If F is an affine map as in 2.3 and if r = r', then we have the transformation formula

$$\int_{V} F^{*}(\alpha) = |\det(F)| \int_{V} \alpha \tag{1}$$

(see [La12], equation (2.3)). We conclude that the definition of the integral depends only on the underlying integral  $\mathbb{R}$ -affine structure of  $N_{\mathbb{R}}$ .

The sign  $(-1)^{\frac{r(r-1)}{2}}$  is explained by the fact that we want  $d'x_1 \wedge d''x_1 \wedge \cdots \wedge d'x_r \wedge d''x_r$  to be a positive (r,r)-superform and hence

$$\int_{U} f d' x_{1} \wedge d'' x_{1} \wedge \dots \wedge d' x_{r} \wedge d'' x_{r} = \int_{U} f dx_{1} \wedge \dots \wedge dx_{r}$$

for any  $f \in C_c^{\infty}(U)$  (see [CD12] for more details about positive forms).

- **2.5** Now let  $\sigma$  be a polyhedron of dimension n in  $N_{\mathbb{R}}$ . By definition,  $\sigma$  is the intersection of finitely many halfspaces  $H_i := \{\omega \in N_{\mathbb{R}} \mid \langle u_i, \omega \rangle \leq c_i \}$  with  $u_i \in M_{\mathbb{R}}$  and  $c_i \in \mathbb{R}$ . A polytope is a bounded polyhedron. We say that  $\sigma$  is an integral G-affine polyhedron for a subgroup G of  $\mathbb{R}$  if we may choose all  $u_i \in M$  and all  $c_i \in G$ . In this case, we have a canonical integral  $\mathbb{R}$ -affine structure on the affine space  $\mathbb{A}_{\sigma}$  generated by  $\sigma$ . If  $\mathbb{L}_{\sigma}$  is the underlying real vector space of  $\mathbb{A}_{\sigma}$ , then this integral structure is given by the lattice  $N_{\sigma} := \mathbb{L}_{\sigma} \cap N$ . Using 2.3 and the above, we get a well-defined integral  $\int_{\sigma} \alpha$  for any  $\alpha \in A_c^{n,n}(U)$ , where U is an open neighbourhood of  $\sigma$ .
- **2.6** In [CD12], integration is described in terms of a contraction: Similarly as in differential geometry, we may view a superform  $\alpha \in A^{p,q}(U)$  as a multilinear map

$$N_{\mathbb{R}}^{p+q} \longrightarrow C^{\infty}(U), \quad (n_1, \dots, n_{p+q}) \mapsto \alpha(n_1, \dots, n_{p+q})$$

which is alternating in the variables  $(n_1,\ldots,n_p)$  and also in  $(n_{p+1},\ldots,n_{p+q})$ . Let  $I\subset\{1,\ldots,p+q\}$  be a subset of cardinality s with s' elements contained in  $\{1,\ldots,p\}$  and hence s''=s-s' elements in  $\{p+1,\ldots,p+q\}$ . Given vectors  $v_1,\ldots,v_s\in N_{\mathbb{R}}$ , the contraction  $\langle \alpha;v_1,\ldots,v_s\rangle_I\in A^{p-s',q-s''}(U)$  is given by inserting  $v_1,\ldots,v_s$  for the variables  $(n_i)_{i\in I}$  of the above multilinear function.

Using the basis  $e_1, \ldots, e_r$  of N and assuming  $\alpha \in A_c^{r,r}(U)$ , the contraction  $\langle \alpha; e_1, \ldots, e_r \rangle_{\{r+1,\ldots,2r\}}$  is a (r,0)-superform which may be viewed as a classical r-form on U. Then it is immediately clear from the definitions that we have

$$\int_{U} \alpha = (-1)^{\frac{r(r-1)}{2}} \int_{U} \langle \alpha; e_1, \dots, e_r \rangle_{\{r+1,\dots,2r\}}$$

where we use the usual integration of r-forms on the right. Of course, there is no preference to contract with respect to the last r variables. Similarly, may view  $\langle \alpha; e_1, \ldots, e_r \rangle_{\{1,\ldots,r\}} \in A_c^{0,r}(U)$  as a classical r-form and we have

$$\int_{U} \alpha = (-1)^{\frac{r(r-1)}{2}} \int_{U} \langle \alpha; e_1, \dots, e_r \rangle_{\{1,\dots,r\}}.$$

Next, we are looking for an analogue of Stokes' theorem for superforms.

**2.7** Let H be an integral  $\mathbb{R}$ -affine halfspace in  $N_{\mathbb{R}}$ . This means that  $H = \{\omega \in N_{\mathbb{R}} \mid \langle u, \omega \rangle \leq c \}$  for some  $u \in M$  and  $c \in \mathbb{R}$ . Using a translation, we may assume that c = 0 and hence the boundary  $\partial H$  is a linear subspace of  $N_{\mathbb{R}}$ . Let  $[\omega_{\partial H,H}]$  be the generator of  $N/(N \cap \partial H) \cong \mathbb{Z}$  which points outwards, i.e. there is  $u_{\partial H,H} \in M$  such that  $u_{\partial H,H}(H) \leq 0$  and  $u_{\partial H,H}(\omega_{\partial H,H}) = 1$ . We choose a representative  $\omega_{\partial H,H} \in N$  and we note also that  $u_{\partial H,H}$  is uniquely determined by the above properties.

**2.8** Let U be an open subset of  $N_{\mathbb{R}}$  and let  $\sigma$  be an r-dimensional integral  $\mathbb{R}$ -affine polyhedron contained in U. For any closed face  $\rho$  of codimension 1, let  $\omega_{\rho,\sigma} := \omega_{\partial H,H}$  using 2.7 for the affine hyperplane  $\partial H$  generated by  $\rho$  and the corresponding halfspace containing  $\sigma$ . We note that  $\omega_{\rho,\sigma} \in N$  is determined up to addition with elements in  $N_{\rho} = N \cap \mathbb{L}_{\rho}$ , where  $\mathbb{L}_{\rho}$  is the linear hyperplane parallel to  $\rho$ .

For  $\eta \in A_c^{r-1,r}(U)$ , we have introduced the contraction  $\langle \eta; \omega_{\rho,\sigma} \rangle_{\{2r-1\}}$  as an element of  $A_c^{r-1,r-1}(U)$  which is obtained by inserting the vector  $\omega_{\rho,\sigma}$  for the (2r-1)-th argument of the corresponding multilinear function (see 2.6). Note that the restriction of this contraction to  $\rho$  does not depend on the choice of the representative  $\omega_{\rho,\sigma}$ . Then we define

$$\int_{\partial \sigma} \eta := \sum_{\rho} \int_{\rho} \langle \eta; \omega_{\rho, \sigma} \rangle_{\{2r-1\}},$$

where  $\rho$  ranges over all closed faces of  $\sigma$  of codimension 1. On the right, we use the integrals of (r-1,r-1)-superforms from 2.4. For  $\eta \in A_c^{r,r-1}(U)$ , we define similarly

$$\int_{\partial \sigma} \eta := \sum_{\rho} \int_{\rho} \langle \eta; \omega_{\rho, \sigma} \rangle_{\{r\}}.$$

Note that the integrals do depend only on the integral  $\mathbb{R}$ -affine structure of  $N_{\mathbb{R}}$  but do not depend on the choice of the orientation of  $N_{\mathbb{R}}$ .

If  $\sigma$  is an integral  $\mathbb{R}$ -affine polyhedron of any dimension n and if  $\eta \in A_c^{n-1,n}(U)$  for an open subset U of  $N_{\mathbb{R}}$  containing  $\sigma$ , then we define  $\int_{\partial \sigma} \eta$  by applying the above to the affine space  $\mathbb{A}_{\sigma}$  generated by  $\sigma$  and to the pull-back of  $\eta$  to  $\mathbb{A}_{\sigma}$ . We give now a concrete description of  $\int_{\partial \sigma} \eta$  in terms of integrals over classical (n-1)-forms. For every closed face  $\rho$  of  $\sigma$ , let  $N_{\sigma} = \mathbb{L}_{\sigma} \cap N$  be the canonical integral structure on the affine space generated by  $\sigma$ . If  $e_1^{\rho}, \ldots, e_{n-1}^{\rho}$  is a basis of  $N_{\rho}$ , then  $\omega_{\rho,\sigma}, e_1^{\rho}, \ldots, e_{n-1}^{\rho}$  is a basis of  $N_{\sigma}$ . We note that the contraction  $\langle \eta; \omega_{\rho,\sigma}, e_1^{\rho}, \ldots, e_{n-1}^{\rho} \rangle_{\{n,\ldots,2n-1\}}$  may be viewed as a classical (n-1)-form on U and hence we get

$$\int_{\partial \sigma} \eta = \sum_{\rho} \int_{\rho} \langle \eta; \omega_{\rho, \sigma} \rangle_{\{2n-1\}} = (-1)^{\frac{n(n-1)}{2}} \sum_{\rho} \int_{\rho} \langle \eta; \omega_{\rho, \sigma}, e_1^{\rho}, \dots, e_{n-1}^{\rho} \rangle_{\{n, \dots, 2n-1\}}.$$

**Proposition 2.9 (Stokes' formula)** Let  $\sigma$  be an n-dimensional integral  $\mathbb{R}$ -affine polyhedron contained in the open subset U of  $N_{\mathbb{R}}$ . For any  $\eta' \in A_c^{n-1,n}(U)$  and any  $\eta'' \in A_c^{n,n-1}(U)$ , we have

$$\int_{\sigma} d' \eta' = \int_{\partial \sigma} \eta', \quad \int_{\sigma} d'' \eta'' = \int_{\partial \sigma} \eta''.$$

**Proof:** This is just a reformulation of [La12], Proposition 2.3, in the case of a polyhedron using the formalism introduced above. In the quoted result, the boundary was assumed to be smooth, but as the classical Stokes' formula holds also for polyhedra (see [Wa83], 4.7), this applies here as well.

**Proposition 2.10 (Green's formula)** We consider a n-dimensional integral  $\mathbb{R}$ -affine polyhedron  $\sigma$  contained in the open subset U of  $N_{\mathbb{R}}$ . Assume that  $\alpha \in A^{p,p}(U)$  and  $\beta \in A^{q,q}(U)$  are symmetric with p+q=n-1 and that the intersection of the supports of  $\alpha$  and  $\beta$  is compact. Then we have

$$\int_{\sigma} \alpha \wedge d'd''\beta - \beta \wedge d'd''\alpha = \int_{\partial \sigma} \alpha \wedge d''\beta - \beta \wedge d''\alpha.$$

**Proof:** This follows from Stokes' formula as in [CD12], Lemma 1.3.8.  $\Box$ 

**2.11** A supercurrent on U is a continuous linear functional on  $A_c^{p,q}(U)$  where the latter is a locally convex vector space in a similar way as in the classical case. We denote the space of such supercurrents by  $D_{p,q}(U)$ . As usual, we define the linear differential operators d, d' and d'' on  $D(U) := \bigoplus_{p,q} D_{p,q}(U)$  by using  $(-1)^{p+q+1}$  times the dual of the corresponding differential operator on  $A_c^{p,q}(U)$ . The sign is chosen in such a way that the canonical embedding  $A^{p,q}(U) \to D_{r-p,r-q}(U)$  is compatible with the operators d, d' and d''. Here,  $\alpha \in A^{p,q}(U)$  is mapped to  $[\alpha] \in D_{r-p,r-q}(U)$  given by  $[\alpha](\beta) = \int_{N_{\mathbb{R}}} \alpha \wedge \beta$  for any  $\beta \in A_c^{r-p,r-q}(U)$ .

### 3 Superforms on polyhedral complexes

We keep the notions from the previous section and we will extend them to the setting of polyhedral complexes. We will introduce tropical cycles and we will characterize them as closed currents of integrations over weighted integral  $\mathbb{R}$ -affine polyhedral complexes.

**3.1** A polyhedral complex  $\mathscr{C}$  in  $N_{\mathbb{R}}$  is a finite set of polyhedra with the following two properties: Every polyhedron in  $\mathscr{C}$  has all its closed faces in  $\mathscr{C}$ . If  $\Delta, \sigma \in \mathscr{C}$ , then  $\Delta \cap \sigma$  is a closed face of  $\Delta$  and  $\sigma$ . Note here that the empty set and also  $\sigma$  are allowed as closed faces of a polyhedron  $\sigma$  (see [Gu12], Appendix A, for details).

A polyhedral complex  $\mathscr C$  is called  $integral\ G$ -affine for a subgroup G of  $\mathbb R$  if every polyhedron of  $\mathscr C$  is integral G-affine. The  $support\ |\mathscr C|$  of  $\mathscr C$  is the union of all polyhedra in  $\mathscr C$ . The polyhedral complex  $\mathscr C$  is called  $pure\ dimensional\ of\ dimension\ n$  if every maximal polyhedron in  $\mathscr C$  has dimension n. We will often use the notation  $\mathscr C_k := \{\sigma \in \mathscr C \mid \dim(\sigma) = k\}$  for  $k \in \mathbb N$ .

**3.2** Let  $\mathscr C$  be a polyhedral complex in  $N_{\mathbb R}$ . A superform on  $\mathscr C$  is the restriction of a superform on (an open subset of)  $N_{\mathbb R}$  to  $|\mathscr C|$ . This means that two superforms agree if their restrictions to any polyhedron of  $|\mathscr C|$  agree. Let  $A(\mathscr C)$  be the space of superforms on  $\mathscr C$ . It is an alternating algebra with respect to the induced wedge product. We have also differential operators d, d' and d'' on  $A(\mathscr C)$  given by restriction of the corresponding operators on  $A(N_{\mathbb R})$ . Let  $A^{p,q}(\mathscr C)$  be the space of (p,q)-superforms on  $\mathscr C$ . The support of  $\alpha \in A(\mathscr C)$  is the complement of  $\{\omega \in |\mathscr C| \mid \alpha \text{ vanishes identically in a neighbourhood of } \omega\}$  in  $|\mathscr C|$ . We denote by  $A_c^{p,q}(\mathscr C)$  the subspace of  $A_c^{p,q}(\mathscr C)$  of superforms of compact support.

Let N' be a free abelian group of rank r' and let  $F: N'_{\mathbb{R}} \to N_{\mathbb{R}}$  be an affine map. Suppose that  $\mathscr{C}'$  is a polyhedral complex of  $N'_{\mathbb{R}}$  with  $F(|\mathscr{C}'|) \subset |\mathscr{C}|$ , then the pull-back in 2.3 induces a pull-back  $F^*: A^{p,q}(\mathscr{C}) \to A^{p,q}(\mathscr{C}')$ .

**3.3** A polyhedral complex  $\mathscr{D}$  subdivides the polyhedral complex  $\mathscr{C}$  if they have the same support and if every polyhedron  $\Delta$  of  $\mathscr{D}$  is contained in a polyhedron of  $\mathscr{C}$ . In this case, we say that  $\mathscr{D}$  is a *subdivision* of  $\mathscr{C}$ . All our constructions here will be compatible with subdivisions. This is no problem for the definition of superforms on  $\mathscr{C}$  as they depend only on the support  $|\mathscr{C}|$ .

A weight on a pure dimensional polyhedral complex  $\mathscr C$  is a function m which assigns to every maximal polyhedron  $\sigma \in \mathscr C$  a number  $m_{\sigma} \in \mathbb Z$ . Then we get a canonical weight on every subdivision of  $\mathscr C$ . For a weighted polyhedral complex  $(\mathscr C,m)$ , only the polyhedra  $\Delta \in \mathscr C$  which are contained in a maximal dimensional  $\sigma \in \mathscr C$  with  $m_{\sigma} \neq 0$  are of interest. They form a subcomplex  $\mathscr D$  of  $\mathscr C$  and we define the support of  $(\mathscr C,m)$  as the support of  $\mathscr D$ . The polyhedra of  $\mathscr C \setminus \mathscr D$  will usually be neglected.

**3.4** Let  $(\mathscr{C}, m)$  be a weighted integral  $\mathbb{R}$ -affine polyhedral complex of pure dimension n. For  $\alpha \in A_c^{n,n}(\mathscr{C})$ , we set

$$\int_{(\mathscr{C},m)} \alpha := \sum_{\sigma \in \mathscr{C}_n} m_\sigma \int_\sigma \alpha,$$

where we use integration from 2.4 on the right. We define integrals over the boundary of  $\mathscr C$  for a superform  $\beta$  in  $A_c^{n-1,n}(\mathscr C)$  or in  $A_c^{n,n-1}(\mathscr C)$  by

$$\int_{\partial(\mathscr{C},m)} \beta = \sum_{\sigma \in \mathscr{C}_n} m_\sigma \int_{\partial \sigma} \beta,$$

where we use the boundary integrals from 2.8 on the right. Note that the boundary  $\partial \mathscr{C}$  may be defined as the subcomplex consisting of the polyhedra of dimension at most n-1, but there is no canonical weight on  $\partial \mathscr{C}$ . Indeed, the boundary integral  $\int_{\partial(\mathscr{C},m)} \beta$  depends on the relative situation  $\partial \mathscr{C} \subset \mathscr{C}$  because of the weight  $m_{\sigma}$  and the contraction with respect to the vectors  $\omega_{\rho,\sigma}$  used in the definitions. This is similar to the situation in real analysis where boundary integrals depend on the relative orientation. These classical boundary integrals do depend only on the restriction of the differential form to the boundary which is clearly wrong for our boundary integrals. However, it is still true that  $\int_{\partial(\mathscr{C},m)} \beta = 0$  if the support of  $\beta$  is disjoint from  $\partial \mathscr{C}$ .

**Proposition 3.5 (Stokes' formula)** Let  $(\mathscr{C}, m)$  be a weighted integral  $\mathbb{R}$ -affine polyhedral complex of pure dimension n. For any  $\eta' \in A_c^{n-1,n}(\mathscr{C})$  and any  $\eta'' \in A_c^{n,n-1}(\mathscr{C})$ , we have

$$\int_{(\mathscr{C},m)} d' \eta' = \int_{\partial(\mathscr{C},m)} \eta', \quad \int_{(\mathscr{C},m)} d'' \eta'' = \int_{\partial(\mathscr{C},m)} \eta'.$$

**Proof:** This follows immediately from Stokes' formula for polyhedra given in Proposition 2.9.

**Example 3.6** If  $(\mathscr{C}, m)$  is a weighted integral  $\mathbb{R}$ -affine polyhedral complex of pure dimension n, then we get a supercurrent  $\delta_{(\mathscr{C},m)} \in D_{n,n}(N_{\mathbb{R}})$  by setting  $\delta_{(\mathscr{C},m)}(\eta) = \int_{(\mathscr{C},m)} \eta$  for any  $\eta \in A_c^{n,n}(N_{\mathbb{R}})$ .

**3.7** A weighted integral  $\mathbb{R}$ -affine polyhedral complex  $(\mathscr{C}, m)$  of pure dimension n is called a *tropical cycle* if its weight m satisfies the following *balancing condition*: For every (n-1)-dimensional  $\rho \in \mathscr{C}$ , we have

$$\sum_{\sigma \in \mathscr{C}_n, \, \sigma \supset \rho} m_{\sigma} \omega_{\rho, \sigma} \in N_{\rho}.$$

Here,  $N_{\rho}$  is the canonical lattice contained in the affine space generated by  $\rho$  and  $\omega_{\rho,\sigma} \in N_{\sigma}$  is the lattice vector pointing outwards of  $\sigma$  (see 2.8). Tropical cycles are the basic objects in tropical geometry.

**Proposition 3.8** Let  $(\mathscr{C}, m)$  be a weighted integral  $\mathbb{R}$ -affine polyhedral complex of pure dimension n on  $N_{\mathbb{R}}$ . Then the following conditions are equivalent:

- (a)  $(\mathscr{C}, m)$  is a tropical cycle;
- (b)  $\delta_{(\mathscr{C},m)}$  is a d'-closed supercurrent on  $N_{\mathbb{R}}$ ;
- (c)  $\delta_{(\mathscr{C},m)}$  is a d"-closed supercurrent on  $N_{\mathbb{R}}$ .

**Proof:** Let  $\alpha \in A_c^{n-1,n}(N_{\mathbb{R}})$ . By Stokes' formula in Proposition 3.5, we have

$$\delta_{(\mathscr{C},m)}(d'\alpha) = \int_{\partial(\mathscr{C},m)} \alpha = \sum_{\rho} \int_{\rho} \langle \alpha; \sum_{\sigma \supset \rho} m_{\sigma} \omega_{\rho,\sigma} \rangle_{\{2n-1\}},$$

where  $\rho$  (resp.  $\sigma$ ) ranges over all elements of  $\mathscr C$  of dimension n-1 (resp. n). Suppose now that  $\sum_{\sigma\supset\rho}m_\sigma\omega_{\rho,\sigma}\in N_\rho$  for some (n-1)-dimensional  $\rho\in\mathscr C$ . Recall that we may view  $\alpha$  as a multilinear map  $N_{\mathbb R}^{2n-1}\to C^\infty(N_{\mathbb R})$  which is alternating in the first n-1 arguments and also alternating in the last n arguments. But an alternating n-linear map on a vector space of dimension n-1 is zero and hence the restriction of  $\langle \alpha; \sum_{\sigma\supset\rho} m_\sigma\omega_{\rho,\sigma} \rangle_{\{2n-1\}}$  to  $\rho$  is zero. Then the above display proves (a)  $\Rightarrow$  (b).

Conversely, if  $\sum_{\sigma\supset\rho}m_{\sigma}\omega_{\rho,\sigma}\not\in N_{\rho}$  for some (n-1)-dimensional  $\rho\in\mathscr{C}$ , then there is an  $\alpha\in A_c^{n-1,n}(N_{\mathbb{R}})$  such that the restriction of  $\langle\alpha;\sum_{\sigma\supset\rho}m_{\sigma}\omega_{\rho,\sigma}\rangle_{\{2n-1\}}$  to  $\rho$  is non-zero. We may also assume that the support of  $\alpha$  is disjoint from all other (n-1)-dimensional polyhedra of  $\mathscr{C}$ . Then the above display proves (b)  $\Rightarrow$  (a). The equivalence of (a) and (c) is shown similarly.

**3.9** Now let  $F: N'_{\mathbb{R}} \to N_{\mathbb{R}}$  be an affine map whose underlying linear map is integral, i.e. induced by a homomorphism  $N' \to N$ . We will define the push-forward of a weighted integral  $\mathbb{R}$ -affine polyhedral complex  $(\mathscr{C}', m)$  of pure dimension n on  $N'_{\mathbb{R}}$ . For details, we refer to [AR10], §7. After a subdivision of  $\mathscr{C}'$ , we may assume that

$$F_*(\mathscr{C}') := \{ F(\sigma') \mid \sigma' \text{ is a face of } \nu' \in \mathscr{C}' \text{ with } \dim(F(\nu')) = n \}$$

is a polyhedral complex in  $N_{\mathbb{R}}$ . We define the multiplicity of an *n*-dimensional  $F(\sigma') \in F_*(\mathcal{C}')$  by

$$m_{F(\sigma')} := \sum_{\nu' \in \mathscr{C}'_r, \, \nu' \subset F^{-1}(F(\sigma'))} [M'_{\nu'} : M_{F(\sigma')}] m_{\nu'}.$$

Endowed with these multiplicities, we get a weighted integral  $\mathbb{R}$ -affine polyhedral complex  $F_*(\mathcal{C}',m)$  of  $N_{\mathbb{R}}$ . If  $(\mathcal{C}',m)$  is a tropical cycle, then  $F_*(\mathcal{C}',m)$  is also a tropical cycle. It might happen that  $F_*(\mathcal{C}',m)$  is empty, then we get the tropical zero cycle.

**Proposition 3.10 (projection formula)** Using the assumptions above and  $\alpha \in A_c^{n,n}(F_*(\mathscr{C}'))$ , we have  $\int_{F_*(\mathscr{C}',m)} \alpha = \int_{(\mathscr{C}',m)} F^*(\alpha)$ .

**Proof:** Let  $\sigma'$  be an n-dimensional polyhedron of  $\mathscr{C}'$ . Then  $\sigma:=F(\sigma')$  is an integral  $\mathbb{R}$ -affine polyhedron in  $N_{\mathbb{R}}$ . We assume for the moment that  $\sigma$  is also n-dimensional. As above, we consider the lattice  $N_{\sigma}:=N\cap\mathbb{L}_{\sigma}$  in  $N_{\mathbb{R}}$ , where  $\mathbb{L}_{\sigma}$  is the linear space which is a translate of the affine space generated by  $\sigma$ . Let A be the matrix of the homomorphism  $F:N_{\sigma'}\to N_{\sigma}$  with respect to integral bases. Then we have  $|\det(A)|=[N_{\sigma'}:N_{\sigma}]$  and hence the transformation formula (1) shows

$$\int_{\sigma'} F^* \alpha = [N_{\sigma'} : N_{\sigma}] \int_{\sigma} \alpha. \tag{2}$$

If  $\dim(\sigma) < n$ , then both sides are zero and hence formula (2) is true in any case. Using the weighted sum over all  $\sigma'$ , the claim follows immediately from (2).

#### 4 Moment maps and tropical charts

A complex manifold is locally defined using analytic charts  $\varphi: U \to \mathbb{C}^r$ . The charts help to transport the analysis from  $\mathbb{C}^r$  to M. The idea in the non-archimedean setting is similar replacing the above charts by algebraic moment maps  $\varphi: U \to \mathbb{G}_m^r$  to multiplicative tori and the corresponding tropicalizations  $\varphi_{\text{trop}}: U \to \mathbb{R}^r$ . The restriction of  $\varphi$  to the preimage of an open analytic subset will be called a tropical chart.

In this section, K is an algebraically closed field endowed with a complete non-trivial non-archimedean absolute value | |. Note that the residue field  $\tilde{K}$  is also algebraically closed. Let  $v := -\log | |$  be the associated valuation and let  $\Gamma := v(K^{\times})$  be the value group. We will study analytifications, tropicalizations and moment maps of the algebraic variety X over K. This will be used in the next section to define (p,q)-forms on  $X^{\mathrm{an}}$ .

- **4.1** We recall first the construction of the analytification of X. Let  $U = \operatorname{Spec}(A)$  be an open affine subset of X, then  $U^{\operatorname{an}}$  is the set of multiplicative seminorms on A extending the given absolute value  $|\ |$  on K. This set is endowed with the topology generated by the functions  $U^{\operatorname{an}} \to \mathbb{R}, p \mapsto p(a)$  with a ranging over A. By glueing, we get a topological space  $X^{\operatorname{an}}$  which is connected locally compact and Hausdorff. We can endow it with a sheaf of analytic functions leading to a Berkovich analytic space over K which we call the analytification of X. For a morphism  $\varphi: Y \to X$  of algebraic varieties over K, we get an analytic morphism  $\varphi^{\operatorname{an}}: Y^{\operatorname{an}} \to X^{\operatorname{an}}$  induced by composing the multiplicative semiorms with  $\varphi^{\sharp}$  on suitable affine open subsets. We refer to [Be90] for details, or to [BPS11], §1.2, for a neat description of the analytification.
- **4.2** We will define some local invariants in  $x \in X^{\mathrm{an}}$ . On an open affine neighbourhood  $U = \mathrm{Spec}(A)$ , the point x is given by a multiplicative seminorm p on A and we often write |f(x)| := p(f) for  $f \in A$ . Dividing out the prime ideal  $I := \{f \in A \mid p(f) = 0\}$ , we get a multiplicative norm on the integral domain B := A/I which extends to an absolute value  $|\cdot|_x$  on the quotient field of B. The completion of this field is denoted by  $\mathscr{H}(x)$ . It does not depend on the choice of U and it may be also constructed analytically. The absolute value of  $\mathscr{H}(x)$  is denoted by  $|\cdot|$  as it extends the given absolute value on K. Note that the completed residue field  $\mathscr{H}(x)$  of x remains the same if we replace the ambient variety X by the Zariski closure of x in X.

Let s(x) be the transcendence degree of the residue field of  $\mathscr{H}(x)$  over K. The quotient of the value group of  $\mathscr{H}(x)$  by  $\Gamma$  is a finitely generated abelian group and we denote its  $\mathbb{Q}$ -rank by t(x). Finally, we set d(x) := s(x) + t(x). By [Be90], Proposition 9.1.3, we have  $\dim(X) = \dim(V) = \sup_{x \in V} d(x)$  for every open subset V of  $X^{\mathrm{an}}$ .

**Example 4.3** Let  $T = \mathbb{G}_m^r$  be the split multiplicative torus of rank r with coordinates  $z_1, \ldots, z_r$ . Then a point x of  $T^{\rm an}$  could be visualized by the coordinates  $z_1(x), \ldots, z_r(x) \in \mathscr{H}(x)$  and the multiplicative seminorm corresponding to x is given by  $|f(x)| = |f(z_1(x), \ldots, z_r(x))|$  for every Laurent polynomial f on T. Conversely, every field extension L/K with an absolute value extending the given absolute value on K and every  $(\beta_1, \ldots, \beta_r) \in L^r$  give rise to a point  $x \in T^{\rm an}$  by  $|f(x)| := |f(\beta_1, \ldots, \beta_r)|$ . Note that L and  $(\beta_1, \ldots, \beta_r)$  are not uniquely determined by x.

In particular, we get an inclusion of T(K) into  $T^{\mathrm{an}}$ . For every  $x \in T(K)$ , we have d(x) = 0. However, there can be also other points with d(x) = 0. If  $T = \mathbb{G}_m^1$ , then precisely the points of type 1 (i.e. the K-rational points) and the points of type 4 satisfy d(x) = 0 (see [Be90], 1.4.4).

Returning to the case  $T = \mathbb{G}_m^r$ , there are some distinguished points of  $T^{\mathrm{an}}$  which behave completely different than K-rational points. For positive real numbers  $s_1, \ldots, s_r$ , we define the associated weighted Gauss norm on K[T] by

$$|f|_{\mathbf{s}} := \max_{\mathbf{m} \in \mathbb{Z}^r} |\alpha_{\mathbf{m}}| \mathbf{s}^{\mathbf{m}}$$

for every Laurent polynomial  $f = \sum_{\mathbf{m} \in \mathbb{Z}^r} \alpha_{\mathbf{m}} \mathbf{z}^{\mathbf{m}} \in K[T] = K[z_1^{\pm 1}, \dots, z_r^{\pm 1}]$ . It follows from the Gauss Lemma that the weighted Gauss norm is a multiplicative seminorm giving rise to a point  $\eta_{\mathbf{s}} \in T^{\mathrm{an}}$ . The set  $S(T^{\mathrm{an}}) := \{\eta_{\mathbf{s}} \mid s_1 > 0, \dots, s_r > 0\}$  is called the *skeleton* of  $T^{\mathrm{an}}$ . Every point  $\eta_{\mathbf{s}} \in S(T^{\mathrm{an}})$  satisfies  $d(\eta_{\mathbf{s}}) = r$  (see [Du12], (0.12) and (0.13)).

**4.4** Let  $T := \mathbb{G}_m^r$  be a split multiplicative torus over K with coordinates  $z_1, \ldots, z_r$ . Then we have the *tropicalization map* 

trop: 
$$T^{\mathrm{an}} \to \mathbb{R}^r$$
,  $p \mapsto (-\log p(z_1), \dots, -\log p(z_r))$ .

It is immediate from the definitions that the map trop is continuous and proper. To get a coordinate free approach, we could use the character group M and its dual N. Then trop is a map from  $T^{\mathrm{an}}$  to  $N_{\mathbb{R}}$ . We refer to [Gu12] for details about tropical geometry.

- Remark 4.5 Note that we have a natural section  $\mathbb{R}^r \to T^{\rm an}$  of the tropicalization map. It is given by mapping the point  $\omega \in \mathbb{R}^r$  to the weighted Gauss norm  $\eta_{\bf s}$  associated to  ${\bf s}:=(e^{-\omega_1},\ldots,e^{-\omega_r})$ . It follows from [Be90], Example 5.2.12, that this section is a homeomorphism of  $\mathbb{R}^r$  onto a closed subset of  $T^{\rm an}$  which is the skeleton  $S(T^{\rm an})$  introduced in 4.3. In this way, we may view the tropicalization map as a map from  $T^{\rm an}$  onto  $S(X^{\rm an})$ . Then it is shown in [Be90], §6.3, that the tropicalization map is a strong deformation retraction of  $T^{\rm an}$  onto the skeleton  $S(T^{\rm an})$ . This point of view is used very rarely in our paper.
- **4.6** For a closed subvariety Y of T of dimension n, the tropical variety associated to X is defined by  $\operatorname{Trop}(Y) := \operatorname{trop}(Y^{\operatorname{an}})$ . The Bieri-Groves theorem says that  $\operatorname{Trop}(Y)$  is a finite union of n-dimensional integral  $\Gamma$ -affine polyhedra in  $\mathbb{R}^r$ . It is shown in tropical geometry that  $\operatorname{Trop}(Y)$  is an integral  $\Gamma$ -affine polyhedral complex. The polyhedral structure is only determined up to subdivision which does not matter for our constructions. We will see below that the tropical variety is endowed with a positive canonical weight m satisfying the balancing condition from 3.7. We get a tropical cycle of pure dimension n which we also denote by  $\operatorname{Trop}(Y)$  forgetting the weight m in the notation.
- **4.7** The tropical weight m on an n-dimensional polyhedron  $\sigma$  of  $\operatorname{Trop}(Y)$  is defined in the following way. By density of the value group  $\Gamma$  in  $\mathbb{R}$ , there is  $\omega \in \Gamma^r \cap \operatorname{relint}(\sigma)$ . We choose  $t \in \mathbb{G}_m^r(K)$  with  $\operatorname{trop}(t) = \omega$ . Then the closure of  $t^{-1}Y$  in  $(\mathbb{G}_m^r)_{K^\circ}$  is a flat variety over  $K^\circ$  whose special fibre is called the *initial degeneration*  $\operatorname{in}_\omega(Y)$  of Y at  $\omega$ . Note that  $\operatorname{in}_\omega(Y)$  is a closed subscheme of  $(\mathbb{G}_m^r)_{\tilde{K}}$ . Let  $m_W$  be the multiplicity of the irreducible component W of  $\operatorname{in}_\omega(Y)$ . Then the tropical weight  $m_\sigma$  is defined by  $m_\sigma := \sum_W m_W$ , where W ranges over all irreducible components of  $\operatorname{in}_\omega(Y)$ . One can show that the definition is independent of the choices of  $\omega$  and t. It is a non-trivial fact from tropical geometry that  $(\operatorname{Trop}(Y), m)$  is a tropical cycle (see [Gu12], §13, for details).
- **4.8** For an open subset U of the algebraic variety X, a moment map is a morphism  $\varphi: U \to T$  to a split multiplicative torus  $T := \mathbb{G}_m^r$  over K. The tropicalization of  $\varphi$  is

$$\varphi_{\operatorname{trop}} := \operatorname{trop} \circ \varphi^{\operatorname{an}} : U^{\operatorname{an}} \xrightarrow{\varphi^{\operatorname{an}}} T^{\operatorname{an}} \xrightarrow{\operatorname{trop}} \mathbb{R}^r.$$

Obviously, this is a continuous map with respect to the topology on the analytification  $U^{\mathrm{an}}$ . Note that our moment maps are algebraic which differs from the moment maps in [CD12] which are defined analytically.

We say that the moment map  $\varphi': U' \to T'$  of the open subset U' of X refines the moment map  $\varphi: U \to T$  if  $U' \subset U$  and if there is an affine homomorphism  $\psi: T' \to T$  of the multiplicative tori such that  $\varphi = \psi \circ \varphi'$  on U'. Here, an affine homomorphism means a group homomorphism composed with a (multiplicative) translation on T. This group homomorphism induces a homomorphism  $M \to M'$  of character lattices. Its dual is the linear part of an integral affine map  $\operatorname{Trop}(\psi): N_{\mathbb{R}} \to N_{\mathbb{R}}$  such that  $\varphi_{\operatorname{trop}} = \operatorname{Trop}(\psi) \circ \varphi'_{\operatorname{trop}}$  on  $(U')^{\operatorname{an}}$ .

 $N_{\mathbb{R}}' \to N_{\mathbb{R}}$  such that  $\varphi_{\mathrm{trop}} = \mathrm{Trop}(\psi) \circ \varphi'_{\mathrm{trop}}$  on  $(U')^{\mathrm{an}}$ . If  $\varphi_i : U_i \to T_i$  are finitely many moment maps of non-empty open subsets  $U_i$  of X with  $i \in I$ , then  $U := \cap_i U_i$  is a non-empty open subset of X and  $\varphi : U \to \prod_i T_i, x \mapsto (\varphi_i(x))_{i \in I}$  is a moment map which refines every  $\varphi_i$ . Moreover, it follows easily from the universal property of the product that every moment map  $\varphi' : U' \to T'$  which refines every  $\varphi_i$  refines also  $\varphi$ .

**Lemma 4.9** Let  $\varphi: U \to \mathbb{G}_m^r$  be a moment map on an open subset U of X and let U' be a non-empty open subset of U. Then  $\varphi_{\text{trop}}((U')^{\text{an}}) = \varphi_{\text{trop}}(U^{\text{an}})$ .

**Proof:** Let  $\omega \in \varphi_{\text{trop}}(U^{\text{an}})$ . We note that  $\varphi_{\text{trop}}^{-1}(\omega)$  is a Laurent domain in  $U^{\text{an}}$  and hence it has the same dimension as U. We conclude that  $\varphi_{\text{trop}}^{-1}(\omega)$  is not contained in the analytification of the lower dimensional Zariski-closed subset  $U \setminus U'$  and hence  $\omega \in \varphi_{\text{trop}}((U')^{\text{an}})$ .

**4.10** If  $f: X_1 \to X_2$  is a morphism of varieties over K, then we define the push-forward of  $X_1$  with respect to f as the cycle  $f_*(X_1) := \deg(f)\overline{f(X_1)}$ , where the degree of f is defined as  $\deg(f) := [K(X_1) : K(f(X_1))]$  if f is generically finite and we set  $\deg(f) := 0$  if  $[K(X_1) : K(f(X_1))] = \infty$ . By restriction, the push-forward can be defined in the same way on prime cycles of  $X_1$  and extends by linearity to all cycles of  $X_1$ .

Now let  $\varphi: U \to T = \mathbb{G}_m^r$  be a moment map of the open subset U of X. By 4.6,

$$\operatorname{Trop}(\varphi_*(U)) := \operatorname{deg}(\varphi)\operatorname{Trop}(\overline{\varphi(U)})$$

is a tropical cycle on  $\mathbb{R}^r$ . If  $\varphi$  is generically finite, then this tropical cycle is of pure dimension  $\dim(X)$  and the support is equal to  $\varphi_{\text{trop}}(U^{\text{an}})$  (see Lemma 4.9).

The following result is called the *Sturmfels-Tevelev multiplicity formula*. It was proved by Sturmfels and Tevelev [ST08] in the case of a trivial valuation and later generalized by Baker, Payne and Rabinoff [BPR11] for every valued field.

**Proposition 4.11** Let  $\varphi': U' \to T'$  be a moment map of the non-empty open subset U' of X which refines the moment map  $\varphi: U \to T$ , i.e. there is an affine homomorphism  $\psi: T' \to T$  such that  $\varphi = \psi \circ \varphi'$  on  $U' \subset U$ . Then we have

$$(\operatorname{Trop}(\psi))_*(\operatorname{Trop}(\varphi'_*(U'))) = \operatorname{Trop}(\varphi_*(U))$$

in the sense of tropical cycles (see 3.9).

**Proof:** In fact, the Sturmfels–Tevelev multiplicity formula is the special case where X = U' is a closed subvariety of T' (see [Gu12], Theorem 13,17, for a proof in our setting deducing it from the original sources). In the general case, we conclude that

$$(\operatorname{Trop}(\psi))_*(\operatorname{Trop}(\varphi'_*(U'))) = \operatorname{Trop}(\psi_*((\varphi')_*(U'))) = \operatorname{Trop}(\varphi_*(U')).$$

Since U' is dense in U, the claim follows.

- **4.12** We will show that every open affine subset U of X has a canonical moment map. We note that the abelian group  $M_U := \mathcal{O}(U)^\times/K^\times$  is free of finite rank (see [Sa66], Lemme 1). Here, we use that K is algebraically closed (or at least that X is geometrically reduced). We choose representatives  $\varphi_1, \ldots, \varphi_r$  in  $\mathcal{O}(U)^\times$  of a basis. This leads to a moment map  $\varphi_U : U \to T_U = \operatorname{Spec}(K[M_U])$ . By construction,  $\varphi_U$  refines every other moment map on U. Note that this moment map  $\varphi_U$  is canonical up to (multiplicative) translation by an element of  $T_U(K)$ .
- Let  $f: X' \to X$  be a morphism of algebraic varieties over K and let U' is an open subset of X' with  $f(U') \subset U$ . Then  $f^{\sharp}$  induces a homomorphism  $M_U \to M_{U'}$  of lattices. We get a canonical affine homomorphism  $\psi_{U,U'}: T_{U'} \to T_U$  of the canonical tori with  $\psi_{U,U'} \circ \varphi_{U'} = \varphi_U \circ f$ . This will be applied very often in the case where U' is an open subset of U in X' = X and  $f = \mathrm{id}$ . Then we get a canonical affine homomorphism  $\psi_{U,U'}: T_{U'} \to T_U$ .
- **4.13** Recall that an open subset U of X is called *very affine* if U has a closed embedding into a multiplicative torus. Clearly, the following conditions are equivalent for an open affine subset U of X:
  - (a) U is very affine;
  - (b)  $\mathscr{O}(U)$  is generated as a K-algebra by  $\mathscr{O}(U)^{\times}$ ;
  - (c) the canonical moment map  $\varphi_U$  from 4.12 is a closed embedding.

The intersection of two very affine open subsets is again very affine (see the proof of Proposition 4.16). Moreover, the very affine open subsets of X form a basis for the Zariski topology. We conclude that all local considerations can be done using very affine open subsets.

On a very affine open subset, we will almost always use the canonical moment map  $\varphi_U: U \to T_U$  which is a closed embedding by the above. To simplify the notation, we will set  $\operatorname{Trop}(U)$  for the tropical variety of U in  $T_U$ . It is a tropical cycle in  $(N_U)_{\mathbb{R}}$ , where  $N_U$  is the dual abelian group of  $M_U$ . The tropicalization map will be denoted by  $\operatorname{trop}_U := (\varphi_U)_{\operatorname{trop}} : U^{\operatorname{an}} \to (N_U)_{\mathbb{R}}$ . Recall that  $\varphi_U$  is only determined up to translation by an element of  $T_U(K)$  and hence  $\operatorname{trop}_U$  and  $\operatorname{Trop}(U)$  are only canonical up to an affine translation. This ambiguity is no problem as our constructions will be compatible with affine translations.

The following result of Ducros relates the local invariant d(x) from 4.2 with tropical dimensions.

**Proposition 4.14** For  $x \in X^{\mathrm{an}}$ , there is a very affine open neighbourhood U of x in X such that for any open neighbourhood W of x in  $U^{\mathrm{an}}$ , there is a compact neighbourhood V of x in W such that  $\mathrm{trop}_U(V)$  is a finite union of d(x)-dimensional integral  $\Gamma$ -affine polytopes.

**Proof:** We choose rational functions  $f_1, \ldots, f_s$  on X with  $|f_1(x)| = \cdots = |f_s(x)| = 1$  such that the reductions  $\widetilde{f}_1, \ldots, \widetilde{f}_s$  form a transcendence basis of the residue field extension of  $\mathscr{H}(x)/K$ . There are rational functions  $g_1, \ldots, g_t$  which are regular at x such that  $|g_1(x)|, \ldots, |g_t(x)|$  form a basis of  $(|\mathscr{H}(x)^\times|/|K^\times|) \otimes_{\mathbb{Z}} \mathbb{Q}$ . By definition, we have d(x) = s + t. By (0.12) in  $[\text{Du}12], f_1(x), \ldots, f_s(x), g_1(x), \ldots, g_t(x)$  reduce to a transcendence basis of the graded residue field extensions of  $\mathscr{H}(x)/K$  in the sense of Temkin. There is a very affine open neighbourhood U of x in X such that  $f_1, \ldots, f_s, g_1, \ldots, g_t$  are invertible on U. Let  $\varphi_1, \ldots, \varphi_r \in \mathscr{O}(U)^\times$  be the coordinates of the canonical moment map  $\varphi_U : U \to T_U = \mathbb{G}_m^r$ . Then the graded reductions of  $\varphi_1, \ldots, \varphi_r$  generate a graded subfield of the graded residue field extension of  $\mathscr{H}(x)/K$ . By construction, this graded subfield has transcendence degree d(x) over

the graded residue field of K. By [Du12], Theorem 3.2,  $\operatorname{Trop}_U(V)$  is a finite union of integral  $\Gamma$ -affine polytopes for every compact neighbourhood V of x in  $U^{\operatorname{an}}$  which is strict in the sense of [Be93]. For any open neighbourhood W of x in  $U^{\operatorname{an}}$ , Theorem 3.3 in [Du12] shows that there is a compact strict neighbourhood V of X in W such that  $\operatorname{trop}_U(V)$  is a finite union of d(x)-dimensional polytopes.

**4.15** A tropical chart  $(V, \varphi_U)$  on  $X^{\mathrm{an}}$  consists of an open subset V of  $X^{\mathrm{an}}$  contained in  $U^{\mathrm{an}}$  for a very affine open subset U of X with  $V = \mathrm{trop}_U^{-1}(\Omega)$  for some open subset  $\Omega$  of  $\mathrm{Trop}(U)$ . Here the canonical moment map  $\varphi_U: U \to T_U$  from 4.12 plays the role of (tropical) coordinates for V. By 4.13,  $\varphi_U$  is an embedding. The condition  $V = \mathrm{trop}_U^{-1}(\Omega)$  means that V behaves well with respect to the tropical coordinates. In particular,  $\mathrm{trop}_U(V) = \Omega$  is an open subset of  $\mathrm{Trop}(U)$ .

We say that the tropical chart  $(V', \varphi_{U'})$  is a tropical subchart of  $(V, \varphi_U)$  if  $V' \subset V$  and  $U' \subset U$ . We note that the definition of tropical chart here is different from the tropical charts in [CD12], §3.1, which consist of an analytic morphism to a split torus and a finite union of polytopes containing the tropicalization.

**Proposition 4.16** The tropical charts on  $X^{an}$  have the following properties:

- (a) They form a basis on  $X^{\mathrm{an}}$ , i.e. for every open subset W of  $X^{\mathrm{an}}$  and for every  $x \in W$ , there is a tropical chart  $(V, \varphi_U)$  with  $x \in V \subset W$ . We may find such a V such that the open subset  $\mathrm{trop}_U(V)$  of  $\mathrm{Trop}(U)$  is relatively compact.
- (b) The intersection  $(V \cap V', \varphi_{U \cap U'})$  of tropical charts  $(V, \varphi_U)$  and  $(V', \varphi_{U'})$  is a tropical subchart of both.
- (c) If  $(V, \varphi_U)$  is a tropical chart and if U'' is a very affine open subset of U with  $V \subset (U'')^{\mathrm{an}}$ , then  $(V, \varphi_{U''})$  is a tropical subchart of  $(V, \varphi_U)$ .

**Proof:** To prove (a), we may assume that  $X = \operatorname{Spec}(A)$  is a very affine scheme. A basis of  $X^{\operatorname{an}}$  is formed by subsets of the form  $V := \{x \in X \mid s_1 < |f_1(x)| < r_1, \ldots, s_k < |f_k(x)| < r_k\}$  with all  $f_a \in A$  and real numbers  $s_a < r_a$ . Using the ultrametric triangle inequality, it is easy to see that we may choose the basis in such a way that  $0 < s_a$  for all  $a = 1, \ldots k$ . Note that V is contained in the analytification of the very affine open subset  $U := \{x \in X \mid f_1(x) \neq 0, \ldots, f_k(x) \neq 0\}$  of X. It is obvious that  $(V, \varphi_U)$  is a tropical chart proving (a).

To prove (b), let us consider the moment map

$$\Phi: U \cap U' \to T_U \times T_{U'}, \quad x \mapsto (\varphi_U(x), \varphi_{U'}(x)).$$

Since X is separated, it is easy to see that  $\Phi$  is a closed embedding and hence  $U \cap U'$  is very affine. We conclude that  $U \cap U'$  is a very affine open subset of X. By definition of a tropical chart,  $\Omega := \operatorname{trop}_U(V)$  (resp.  $\Omega' := \operatorname{trop}_{U'}(V')$ ) is an open subset of  $\operatorname{Trop}(U)$  (resp.  $\operatorname{Trop}(U')$ ). Note that

$$\Omega'' := \Phi_{\operatorname{trop}}((U \cap U')^{\operatorname{an}}) \cap (\Omega \times \Omega') \subset (N_U)_{\mathbb{R}} \times (N_{U'})_{\mathbb{R}}$$

is an open subset of  $\Phi_{\text{trop}}((U \cap U')^{\text{an}})$ . An easy diagram chase yields  $\Phi_{\text{trop}}^{-1}(\Omega'') = V \cap V'$ . Since  $\varphi_{U \cap U'}$  refines the moment map  $\Phi$ , we deduce that  $(V \cap V', \varphi_{U \cap U'})$  is a tropical chart. This proves (b).

Finally, we prove (c). Let  $\psi := \psi_{U,U''} : T_{U''} \to T_U$  be the canonical affine homomorphism from 4.12. Then we have  $\operatorname{trop}_U = \operatorname{Trop}(\psi) \circ \operatorname{trop}_{U''}$  on  $(U'')^{\operatorname{an}}$ . Since  $(V, \varphi_U)$  is a tropical chart,  $\Omega := \operatorname{trop}_U(V)$  is an open subset of  $\operatorname{Trop}(U)$  and  $V = \operatorname{trop}_U^{-1}(\Omega)$ . Using  $V \subset (U'')^{\operatorname{an}}$ , we get  $V = \operatorname{trop}_{U''}(\Omega'')$  for the open subset  $\Omega'' := \operatorname{Trop}(\psi)^{-1}(\Omega)$  of  $\operatorname{Trop}(U'')$ . We conclude that  $(V, \varphi_{U''})$  is a tropical chart proving (c).

**Remark 4.17** In [CD12], everything is defined for an arbitrary analytic space. In Section 7, we will compare their analytic constructions with our algebraic approach.

#### 5 Differential forms on algebraic varieties

On a complex analytic manifold M, we use open analytic charts  $\varphi: U \to \mathbb{C}^r$  to define (p,q)-forms on U by pull-back. The idea in the non-archimedean setting is similar replacing the above charts by tropical charts  $(V,\varphi_U)$  from the previous section in order to pull-back Lagerberg's superforms to  $U^{\mathrm{an}}$ .

In this section, K is an algebraically closed field endowed with a complete non-trivial non-archimedean absolute value | |. Let  $v := -\log | |$  be the associated valuation and let  $\Gamma := v(K^{\times})$  be the value group. The theory could be done for arbitrary fields (see [CD12]), but it is no serious restriction to assume that K is algebraically closed as the theory is stable under base extension and in the classical setting, the analysis is also done over  $\mathbb C$ . We will introduce (p,q)-forms on the analytification  $X^{\mathrm{an}}$  of a n-dimensional algebraic variety X over K.

5.1 We recall from 4.15 that a tropical chart  $(V, \varphi_U)$  consists of an open subset V of  $U^{\mathrm{an}}$  for a very affine open subset U of X such that  $V = \mathrm{trop}_U^{-1}(\Omega)$  for an open subset  $\Omega$  of  $\mathrm{Trop}(U)$ . Here,  $\varphi_U : U \to T_U$  is the canonical moment map. It is a closed embedding to the torus  $T_U = \mathrm{Spec}(K[M_U])$ . The tropical variety  $\mathrm{Trop}(U)$  is a tropical cycle of  $(N_U)_{\mathbb{R}}$  and  $\mathrm{trop}_U : U^{\mathrm{an}} \to (N_U)_{\mathbb{R}}$  is the tropicalization map. The embedding  $\varphi_U$  is only determined up to translation by an element in  $T_U(K)$  and hence the tropical constructions are canonical up to integral  $\Gamma$ -affine isomorphisms.

Suppose that we have another tropical chart  $(V', \varphi_{U'})$ . Then  $(V \cap V', \varphi_{U \cap U'})$  is a tropical chart (see Proposition 4.16) and we get a canonical affine homomorphism  $\psi_{U,U\cap U'}: T_{U\cap U'} \to T_U$  of the underlying tori with  $\varphi_U = \psi_{U,U\cap U'} \circ \varphi_{U\cap U'}$  on  $U \cap U'$  (see 4.12). The associated affine map  $\operatorname{Trop}(\psi_{U,U\cap U'}): (N_{U\cap U'})_{\mathbb{R}} \to (N_U)_{\mathbb{R}}$  maps the tropical variety  $\operatorname{Trop}(U \cap U')$  onto  $\operatorname{Trop}(U)$  (use Lemma 4.9). Then we define the restriction of the superform  $\alpha \in A^{p,q}(\operatorname{trop}_U(V))$  to a superform  $\alpha|_{V\cap V'}$  on  $\operatorname{trop}_{U\cap U'}(V \cap V')$  by using the pull-back to  $\operatorname{trop}_{U\cap U'}(V \cap V')$  with respect to  $\operatorname{Trop}(\psi_{U,U\cap U'})$ . This plays a crucial role in the following definition:

**Definition 5.2** A differential form  $\alpha$  of bidegree (p,q) on an open subset V of  $X^{\mathrm{an}}$  is given by a covering  $(V_i)_{i\in I}$  of V by tropical charts  $(V_i, \varphi_{U_i})$  of  $X^{\mathrm{an}}$  and superforms  $\alpha_i \in A^{p,q}(\mathrm{trop}_{U_i}(V_i))$  such that  $\alpha_i|_{V_i \cap V_j} = \alpha_j|_{V_i \cap V_j}$  for every  $i, j \in I$ . If  $\alpha'$  is another differential form of bidegree (p,q) on V given by  $\alpha'_j \in A^{p,q}(\mathrm{trop}_{U'_j}(V'_j))$  with respect to the tropical charts  $(V'_j, \varphi_{U'_j})_{j \in J}$  covering V, then we consider  $\alpha$  and  $\alpha'$  as the same differential forms if and only if  $\alpha_i|_{V_i \cap V'_j} = \alpha'_j|_{V_i \cap V'_j}$  for every  $i \in I$  and  $j \in J$ . We denote the space of (p,q)-differential forms on V by  $A^{p,q}(V)$ . As usual, we define the space of differential forms on V by  $A(V) := \bigoplus_{p,q} A^{p,q}(V)$ .

**5.3** It is obvious from the definitions that the differential forms form a sheaf on  $X^{\mathrm{an}}$ . Using the corresponding constructions for superforms on tropical cycles, it is immediate to define the wedge product and differential operators d, d', d'' on differential forms on V. By 4.6, we have  $A^{p,q}(V) = \{0\}$  if  $\max(p,q) > \dim(X)$ .

For a morphism  $\varphi: X' \to X$  and open subsets V (resp. V') of  $X^{\mathrm{an}}$  (resp.  $(X')^{\mathrm{an}}$ ) with  $\varphi(V') \subset V$ , we get a pull-back  $\varphi^*: A^{p,q}(V) \to A^{p,q}(V')$  defined in the following way: Suppose that  $\alpha \in A^{p,q}(V)$  is given by the covering  $(V_i)_{i \in I}$  and the superforms  $\alpha_i \in A^{p,q}(\mathrm{trop}_{U_i}(V_i))$  as above. Then there is a covering  $(V_j')_{j \in J}$  of V' by tropical charts  $(V_j', \varphi_{U_j'})$  which is subordinate to  $((\varphi^{\mathrm{an}})^{-1}(V_i))_{i \in I}$ . This means that for every  $j \in J$ , there is  $i(j) \in I$  with  $V_j' \subset V_{i(j)}$  and  $\varphi(U_j') \subset U_{i(j)}$  for the corresponding very affine open subsets. Then  $\varphi^*(\alpha)$  is the differential form on V' given by the covering  $(V_j')_{j \in J}$  and the superforms  $\varphi^*(\alpha_{i(j)}) \in A^{p,q}(\mathrm{Trop}(U_j'))$ . We leave the details to the reader. This construction is functorial as usual.

**Remark 5.4** We obtain the same sheaf of differential forms on  $X^{\text{an}}$  as in [CD12], §3. In the latter reference, all analytic moment maps were used to define differential

forms on  $X^{\mathrm{an}}$  and so it is clear that our differential forms here are also differential forms in the sense of [CD12]. To see the converse, we argue as follows: By Proposition 4.16, tropical charts  $(V, \varphi_U)$  form a basis in  $X^{\mathrm{an}}$ . It follows from Proposition 7.2 that an analytic moment map  $\varphi: V \to (\mathbb{G}_m^r)^{\mathrm{an}}$  may be locally in  $x \in V$  approximated by an algebraic moment map  $\varphi': U' \to \mathbb{G}_m^r$  such that  $(\varphi')_{\mathrm{trop}} = \mathrm{trop} \circ \varphi$  in an open neighbourhood of x in V. Here, U' is a suitable very affine open subset of U with  $x \in (U')^{\mathrm{an}}$ . It follows from [CD12], Lemma 3.1.10, that we may use algebraic moment maps to define differential forms in the sense of [CD12]. Using that  $\varphi_{U'}$  factorizes through  $\varphi'$  (see 4.12), we get the claim.

**Definition 5.5** Let  $\alpha$  be a differential form on an open subset V of  $X^{\mathrm{an}}$ . The support of  $\alpha$  is the complement in V of the set of points x of V which have an open neighbourhood  $V_x$  such that  $\alpha|_{V_x} = 0$ . Let  $A_c^{p,q}(V)$  be the space of differential forms of bidegree (p,q) with compact support in V.

**Proposition 5.6** Let  $(V, \varphi_U)$  be a tropical chart of  $X^{\mathrm{an}}$  and let  $\alpha \in A^{p,q}(V)$  be given by  $\alpha_U \in A^{p,q}(\operatorname{trop}_U(V))$ . Then  $\alpha = 0$  in  $A^{p,q}(V)$  if and only if  $\alpha_U = 0$  in  $A^{p,q}(\operatorname{trop}_U(V))$ .

**Proof:** See [CD12], Lemme 3.2.2.

**Remark 5.7** It follows from Proposition 5.6, that  $\operatorname{trop}_U(\operatorname{supp}(\alpha)) = \operatorname{supp}(\alpha_U)$  (see [CD12], Corollaire 3.2.3). Note however that not every differential form  $\alpha$  on the tropical chart  $(V, \varphi_U)$  is given by a single  $\alpha_U \in A^{p,q}(\operatorname{trop}_U(V))$  as in Proposition 5.6

**5.8** In analogy with differential geometry on manifolds, we set  $C^{\infty}(V) := A^{0,0}(V)$  for any open subset V of  $X^{\mathrm{an}}$  and a *smooth function* on V is just a differential form of bidegree (0,0). Since tropicalization maps are continuous, it is clear that a smooth function is a continuous function on V. By the Stone-Weierstrass theorem, the space  $C_c^{\infty}(V)$  of smooth functions with compact support in V is a dense subalgebra of  $C_c(V)$  (see [CD12], Proposition 3.3.5).

**Definition 5.9** Let  $(V_i)_{i\in I}$  be an open covering of an open subset V of  $X^{\mathrm{an}}$ . A smooth partition of unity on V with compact supports subordinated to the covering  $(V_i)_{i\in I}$  is a family  $(\phi_j)_{j\in J}$  of non-negative smooth functions with compact support on V with the following properties:

- (i) The family  $(\operatorname{supp}(\phi_j))_{j\in J}$  is locally finite on V.
- (ii) We have  $\sum_{j \in J} \phi_j \equiv 1$  on V.
- (iii) For every  $j \in J$ , there is  $i(j) \in I$  such that  $\operatorname{supp}(\phi_j) \subset V_{i(j)}$ .

**Proposition 5.10** Let  $(V_i)_{i\in I}$  be an open covering of an open subset V of  $X^{\mathrm{an}}$ . Then there is a smooth partition of unity  $(\phi_j)_{j\in J}$  on V with compact supports subordinated to the covering  $(V_i)_{i\in I}$ .

**Proof:** It is enough to show that for every  $x \in V$ , there is a non-negative smooth function  $\phi$  with compact support in V and with  $\phi(x) > 0$ . Since  $X^{\mathrm{an}}$  is a locally compact Hausdorff space which is also  $\sigma$ -compact, the open subset V is paracompact and hence standard arguments from differential geometry yield the existence of the desired partition of unity (see [Wa83], Theorem 1.11).

To prove the crucial claim at the beginning of the proof, we may assume that V is coming from a tropical chart  $(V, \varphi_U)$  (see Proposition 4.16). Then  $\Omega := \operatorname{trop}_U(V)$  is a open subset of  $\operatorname{Trop}(U)$  with  $\operatorname{trop}_U^{-1}(\Omega) = V$  and hence there is an open subset

 $\tilde{\Omega}$  in  $(N_U)_{\mathbb{R}}$  with  $\Omega = \tilde{\Omega} \cap \operatorname{Trop}(U)$ . There is a smooth non-negative function f on  $(N_U)_{\mathbb{R}}$  with compact support in  $\tilde{\Omega}$  such that  $f(\operatorname{trop}_U(x)) > 0$ . Since the tropicalization map is proper, the smooth function  $\phi := f \circ \operatorname{trop}_U$  has compact support in V and hence  $\phi$  fulfills the claim.

So far, we have seen properties of differential forms which are completely similar to the archimedean case. The next result of Chambert-Loir and Ducros ([CD12], Lemme 3.2.5) shows that the support of a differential form of degree at least one is disjoint from X(K).

**Lemma 5.11** Let W be an open subset of  $X^{\mathrm{an}}$ . We consider  $x \in W$  and  $\alpha \in A^{p,q}(W)$  with  $d(x) < \max(p,q)$ . Then  $x \notin \operatorname{supp}(\alpha)$ .

**Proof:** Using Proposition 4.16 and shrinking the open neighbourhood W of x, we may assume that W is a tropical chart  $(W, \varphi_U)$  on which  $\alpha$  is given by the superform  $\alpha_U \in A^{p,q}(\operatorname{trop}_U(W))$ . By Proposition 4.14, there is a very affine open subset  $U_x$  of U and a compact neighbourhood  $V_x$  of x in  $(U_x)^{\operatorname{an}}$  such that  $\operatorname{trop}_{U_x}(V_x)$  is of dimension d(x). By Proposition 4.16, there is a tropical chart  $(V', \varphi_{U'})$  with  $x \in V' \subset V_x$  and  $U' \subset U_x$ . By 4.12, there is an affine homomorphism  $\psi : T_{U'} \to T_U$  such that  $\varphi_U = \varphi_{U'} \circ \psi$ . Using the same factorization for the tropicalizations, we see that the restriction of  $\alpha$  to V' is given by  $\operatorname{Trop}(\psi)^*(\alpha_U) \in A^{p,q}(\operatorname{trop}_{U'}(V'))$ . The inclusion  $U_x \subset U$  yields that  $\operatorname{trop}_{U_x}$  factorizes through  $\operatorname{trop}_U$  (use 4.12). Since  $V' \subset V_x$ , we get  $\operatorname{dim}(\operatorname{trop}_U(V')) \leq \operatorname{dim}(\operatorname{trop}_{U_x}(V')) \leq d(x) < \operatorname{max}(p,q)$ . As  $\operatorname{trop}_U(V') = \operatorname{Trop}(\psi)(\operatorname{trop}_{U'}(V'))$ , we conclude that  $\operatorname{Trop}(\psi)^*(\alpha_U) = 0$ . This proves  $\alpha = 0$ .  $\square$ 

**Corollary 5.12** Let W be an open subset of  $X^{\mathrm{an}}$  and let U be a Zariski open subset of X. If  $\alpha \in A^{p,q}(W)$  with  $\dim(X \setminus U) < \max(p,q)$ , then  $\mathrm{supp}(\alpha) \subset W \cap U^{\mathrm{an}}$ .

**Proof:** Let  $x \in W \setminus U^{\mathrm{an}}$ . Then 4.2 shows that  $d(x) \leq \dim(X \setminus U) < \max(p,q)$ . By Lemma 5.11, we get  $x \notin \operatorname{supp}(\alpha)$  proving the claim.

**Proposition 5.13** Let  $\alpha \in A_c^{p,q}(X^{\mathrm{an}})$  be a differential form with  $\max(p,q) = \dim(X)$ . Then there is a very affine open subset U of X such that  $\mathrm{supp}(\alpha) \subset U^{\mathrm{an}}$  and such that  $\alpha$  is given on  $U^{\mathrm{an}}$  by a superform  $\alpha_U \in A_c^{p,q}(\mathrm{Trop}(U))$ .

**Proof:** By assumption, the support of  $\alpha$  is a compact subset of  $X^{\mathrm{an}}$ . We conclude that there are finitely many tropical charts  $(V_i, \varphi_{U_i})_{i=1,\dots,s}$  covering  $\mathrm{supp}(\alpha)$  such that  $\alpha$  is given on  $V_i$  by the superform  $\alpha_i \in A^{p,q}(\mathrm{trop}_{U_i}(V_i))$ . Recall that  $\Omega_i := \mathrm{trop}_{U_i}(V_i)$  is an open subset of  $\mathrm{Trop}(U_i)$ . By 4.13,  $U := U_1 \cap \cdots \cap U_s$  is a nonempty very affine open subset of X. We define the open subset V of  $U^{\mathrm{an}}$  by  $V := U^{\mathrm{an}} \cap \bigcup_{i=1}^s V_i$ . Since  $\mathrm{max}(p,q) = \dim(X)$ , Corollary 5.12 yields  $\mathrm{supp}(\alpha) \subset U^{\mathrm{an}}$ . Using 4.12, we see that  $\mathrm{trop}_{U_i} = \mathrm{Trop}(\psi_i) \circ \mathrm{trop}_U$  for an affine homomorphism  $\psi_i : T_U \to T_{U_i}$  of tori. Then we have

$$\operatorname{trop}_{U}(V_{i} \cap U^{\operatorname{an}}) = (\operatorname{Trop}(\psi_{i}))^{-1}(\Omega_{i}) \cap \operatorname{Trop}(U)$$

and we denote this open subset of  $\operatorname{Trop}(U)$  by  $\Omega'_i$ . It follows that the preimage of  $\Omega := \bigcup_{i=1}^s \Omega'_i$  with respect to  $(\varphi_U)_{\operatorname{trop}}$  is equal to V. We conclude that  $(V, \varphi_U)$  is a tropical chart of  $X^{\operatorname{an}}$ . Note that  $\alpha$  is given on  $U^{\operatorname{an}} \cap V_i$  by  $\alpha'_i := \operatorname{Trop}(\psi_i)^*(\alpha_i) \in A^{p,q}(\Omega'_i)$ . By Proposition 5.6,  $\alpha'_i$  agrees with  $\alpha'_j$  on  $\Omega'_i \cap \Omega'_j$  for every  $i, j \in \{1, \ldots, s\}$  and hence they define a superform  $\alpha_U \in A^{p,q}(\Omega)$ . By construction,  $\alpha_U$  gives the differential form  $\alpha$  on V. It follows from Remark 5.7 that  $\alpha_U$  has compact support in  $\Omega$ . Since  $\alpha$  has compact support in V, we conclude that  $\alpha_U$  is a superform on  $\operatorname{Trop}(U)$  which defines  $\alpha$  on  $U^{\operatorname{an}}$ .

**5.14** Let  $\alpha \in A_c^{n,n}(W)$  for an open subset W of  $X^{\mathrm{an}}$ , where  $n := \dim(X)$ . Obviously, we may view  $\alpha$  as a (n,n)-form on  $X^{\mathrm{an}}$  with compact support. We call a very affine open subset U as in Proposition 5.13 a very affine chart of integration for  $\alpha$ . Then  $\alpha$  is given by a superform  $\alpha_U \in A_c^{n,n}(\mathrm{Trop}(U))$ . We define the integral of  $\alpha$  over W by

$$\int_{W} \alpha := \int_{\text{Trop}(U)} \alpha_{U}.$$

Here, we view Trop(U) as a tropical cycle (see 4.6) and we integrate as in 3.4.

**Lemma 5.15** For  $\alpha \in A_c^{n,n}(W)$ , the following properties hold:

- (a) If U is a very affine chart of integration for  $\alpha$ , then every non-empty very affine open subset U' of U is a very affine chart of integration for  $\alpha$ .
- (b) The definition of  $\int_W \alpha$  is independent of the choice of the very affine chart of integration for  $\alpha$ .

**Proof:** By Corollary 5.12,  $\operatorname{supp}(\alpha) \subset (U')^{\operatorname{an}}$  and (a) follows. To prove (b), it is enough to show

$$\int_{\text{Trop}(U)} \alpha_U = \int_{\text{Trop}(U')} \alpha_{U'} \tag{3}$$

for a non-empty very affine open subset U' of U by using (a). The differential form  $\alpha$  is given on  $U^{\rm an}$  (resp.  $(U')^{\rm an}$ ) by  $\alpha_U \in A_c^{n,n}(\operatorname{Trop}(U))$  (resp.  $\alpha_{U'} \in A_c^{n,n}(\operatorname{Trop}(U'))$ ). By 4.12, there is an affine homomorphism  $\psi: T_{U'} \to T_U$  of the underlying canonical tori such that  $\varphi_U = \operatorname{Trop}(\psi) \circ \varphi_{U'}$ . It follows that  $\alpha$  is given on U' also by  $\operatorname{Trop}(\psi)^*(\alpha_U)$ . By Proposition 5.6, we have  $\alpha_{U'} = \operatorname{Trop}(\psi)^*(\alpha_U)$ . The Sturmfels–Tevelev multiplicity formula shows that  $\operatorname{Trop}(\psi)_*(\operatorname{Trop}(U')) = \operatorname{Trop}(U)$  (see Proposition 4.11). Then Proposition 3.10 shows that (3) holds.

**Proposition 5.16** Let  $\lambda, \rho \in \mathbb{R}$  and let  $\alpha, \beta \in A_c^{n,n}(W)$ . Then we have

$$\int_{W} \lambda \alpha + \rho \beta = \lambda \int_{W} \alpha + \rho \int_{W} \beta.$$

**Proof:** By Lemma 5.15, we may choose a simultaneous very affine chart of integration for both  $\alpha$  and  $\beta$ . Then the claim follows by the corresponding property of the integration of superforms.

We have also Stokes' theorem for differential forms on the open subset W of  $X^{\mathrm{an}}$ :

**Theorem 5.17** For  $n := \dim(X)$  and  $\alpha \in A_c^{2n-1}(W)$ , we have  $\int_W d\alpha = 0$  and hence  $\int_W d''\alpha = \int_W d''\alpha = 0$ .

**Proof:** By Proposition 5.13, there is a very affine open subset U of X such that  $\operatorname{supp}(\alpha) \subset U^{\operatorname{an}}$  and such that  $\alpha$  is given on  $U^{\operatorname{an}}$  by a superform  $\alpha_U \in A_c^{2n-1}(\operatorname{Trop}(U))$ . Then U is a very affine chart of integration for  $d'\alpha$  and  $d''\alpha$  and the claim follows from Proposition 3.5.

Remark 5.18 Integration of differential forms on complex manifolds is defined by using a partition of unity with compact supports subordinated to a covering by holomorphic charts. Surprisingly, this was not necessary in our non-archimedean algebraic setting as we have defined integration by using a single suitable tropical chart. In fact, the use of a smooth partition of unity  $(\phi_j)_{j\in J}$  with compact supports subordinate to an open covering of W by tropical charts  $(V_i, \varphi_{U_i})_{i\in I}$  would not work here directly. To illustrate this, suppose that  $\alpha \in A_c^{n,n}(W)$  is given on  $V_i$  by

 $\alpha_i \in A^{n,n}(\operatorname{trop}_{U_i}(V_i))$ . If the functions  $\phi_j$  are of the form  $\phi_j = f_j \circ \operatorname{trop}_{U_{i(j)}}$  for some  $V_{i(j)} \supset \operatorname{supp}(\phi_j)$  and  $f_j \in C_c^{\infty}(\operatorname{trop}_{U_{i(j)}}(V_{i(j)}))$ , then we could set  $\int_W \alpha = \sum_{j \in J} \int_{\operatorname{Trop}(U_{i(j)})} f_j \alpha_{i(j)}$ . However, the functions  $\phi_j$  could not be expected to have this form and so this approach fails.

Chambert-Loir and Ducros define integration more generally for differential forms on paracompact good analytic spaces (see [CD12], §3.8). The idea is to use a covering by the interiors of affinoid subdomains. Then there is a smooth partition of unity with supports subordinated to this covering which reduces the problem to defining integration over an affinoid subdomain. But in the affinoid case, one can find a single tropical chart of integration similarly as in Proposition 5.13. It follows from Remark 7.6 and Proposition 7.11 that both definitions give the same integral on the analytification of an algebraic variety.

#### 6 Currents on algebraic varieties

In this section, K is an algebraically closed field endowed with a non-trivial non-archimedean complete absolute value | |. We consider an open subset W of  $X^{\mathrm{an}}$  for an algebraic variety X over K of dimension n. Similarly as in the complex case, we will first define a topology on  $A_c^{p,q}(W)$  and then we will define currents as continuous linear functionals on this space. We will see that the Poincaré–Lelong equation holds for a rational function.

**6.1** Let  $(V_i, \varphi_{U_i})_{i \in I}$  be finitely many tropical charts contained in  $V_i$  and let  $\Delta_i$  be a polytope contained in the open subset  $\Omega_i := \operatorname{trop}_{U_i}(V_i)$  of  $\operatorname{Trop}(U_i)$ . We consider the space  $A^{p,q}(V_i, U_i, \Delta_i : i \in I)$  of (p,q)-forms  $\alpha$  on W with support in  $C := \bigcup_{i \in I} \operatorname{trop}_{U_i}^{-1}(\Delta_i)$  such that  $\alpha$  is given on  $V_i$  by a superform  $\alpha_i \in A^{p,q}(\Omega_i)$  for every  $i \in I$ . Since the tropicalization map is proper (see 4.4), the set C is compact. Similarly as in the complex case, we endow  $A^{p,q}(V_i, U_i, \Delta_i : i \in I)$  with the structure of a locally convex space such that a sequence  $\alpha_k$  converges to  $\alpha$  if and only if all derivatives of the superforms  $\alpha_{k,i}$  converge uniformly to the derivatives of the superform  $\alpha_i$  on  $\Delta_i$ . Here,  $\alpha_{k,i}$  (resp.  $\alpha_i$ ) is the superform on  $\Omega_i$  which defines of  $\alpha_{k,i}|_{\Delta_i}$  (resp.  $\alpha_i|_{\Delta_i}$ ). It follows easily from Proposition 4.16 that  $A_c^{p,q}(W)$  is the union of all spaces  $A^{p,q}(V_i, U_i, \Delta_i : i \in I)$  with  $(V_i, U_i, \Delta_i : i \in I)$  ranging over all possibilities as above.

**6.2** A current on an open subset W of  $X^{\mathrm{an}}$  is a linear functional T on  $A_c^{p,q}(W)$  such that the restriction of T to all subspaces  $A^{p,q}(V_i,U_i,\Delta_i:i\in I)$  is continuous. The space of currents is a  $C^{\infty}(W)$ -module denoted by  $D_{p,q}(W)$ . As usual, we define the differential operators d', d'' and d:=d'+d'' on the total space of currents  $D(W):=\bigoplus_{p,q}D_{p,q}(W)$ . Using partitions of unity from Proposition 5.10, it is easy to show that the currents form a sheaf on  $X^{\mathrm{an}}$ .

**Example 6.3** A signed Radon measure  $\mu$  on an open subset W of  $X^{\mathrm{an}}$  induces a current  $[\mu] \in D_0(W)$  by setting  $[\mu](f) := \int_{X^{\mathrm{an}}} f d\mu$  as usual. Since the topology on  $A_c^0(W) = C_c^\infty(W)$  is finer than the topology induced by the supremum norm, we conclude that  $[\mu]$  is indeed a current on W.

**Remark 6.4** Let  $\varphi: X' \to X$  be a proper morphism of algebraic varieties over K. Then there is a linear map  $\varphi_*: D_{p,q}((X')^{\mathrm{an}}) \to D_{p,q}(X^{\mathrm{an}})$ , where the *push-forward*  $\varphi_*(T') \in D_{p,q}(X^{\mathrm{an}})$  of  $T' \in D_{p,q}((X')^{\mathrm{an}})$  is characterized by

$$\varphi_*(T')(\alpha) = T'(\varphi^*(\alpha))$$

for every  $\alpha \in A_c^{p,q}(X^{\mathrm{an}})$ . It follows from continuity of the map  $\varphi^*: A_c^{p,q}(X^{\mathrm{an}}) \to A_c^{p,q}((X')^{\mathrm{an}})$  that  $\varphi_*(T)$  is indeed a current on T. To define the push-forward, we need the fact that a proper algebraic morphism induces a proper morphism between the analytifications meaning that the preimage of a compact subset in  $X^{\mathrm{an}}$  is compact (see [Be90], Proposition 3.4.7).

**Example 6.5** We have the current of integration  $\delta_X \in D_{2n}(X^{\mathrm{an}})$  given by  $\delta_X(\alpha) = \int_X \alpha$  for  $\alpha \in A_c^{2n}(X^{\mathrm{an}})$ . More generally, we define the current of integration along a closed s-dimensional subvariety Y of X as the push-forward of  $\delta_Y \in D_{2s}(Y^{\mathrm{an}})$  to  $X^{\mathrm{an}}$ . By abuse of notation, we denote this element of  $D_{2s}(X^{\mathrm{an}})$  also by  $\delta_Y$ . By linearity in the components, we define the current of integration along a cycle on X. If W is an open subset of  $X^{\mathrm{an}}$ , then we get a current  $\delta_W \in D_{2n}(W)$  by restricting  $\delta_X$ .

**6.6** Let  $T \in D_{p,q}(W)$  and  $\omega \in A^{r,s}(W)$  for an open subset W of  $X^{\mathrm{an}}$ . Then we define  $T \wedge \omega \in D_{p-r,q-s}(W)$  by  $(T \wedge \omega)(\alpha) = T(\omega \wedge \alpha)$  for  $\alpha \in A_c^{p-r,q-s}(W)$ . Since the wedge product with a given form is a continuous operation on  $A_c(W)$ , it is clear that  $T \wedge \omega$  is really a current on W.

**Example 6.7** For  $\omega \in A^{r,s}(W)$ , the current  $[\omega] \in D_{n-r,n-s}(W)$  associated to  $\omega$  is defined by  $[\omega] := \delta_W \wedge \omega$  and we get an injective linear map  $a : A^{r,s}(W) \to D_{n-r,n-s}(W)$  given by  $a(\omega) := [\omega]$ .

**Proposition 6.8** Let  $\omega \in A^{2n}(W)$  for an open subset W of  $X^{\mathrm{an}}$ . Then there is a unique signed Radon measure  $\mu$  on W such that  $\int_W f d\mu = [\omega](f)$  for every  $f \in C_c^{\infty}(W)$ . If  $\omega$  has compact support, then we have  $|\mu|(W) < \infty$ .

**Proof:** It is easy to prove that  $[\omega]$  induces a continuous linear functional on  $C_c^{\infty}(W)$  where this locally convex vector space is endowed with the subspace topology of  $C_c(W)$ . By 5.8, this subspace is dense and hence the Riesz representation theorem proves the first claim. If  $\omega$  has compact support, then  $\operatorname{supp}(\mu)$  is also compact and the last claim follows.

**6.9** Let us again consider an open subset W of  $X^{\mathrm{an}}$ . A function  $f:W\to\mathbb{R}\cup\{\pm\infty\}$  is called *locally integrable* if f is integrable with respect to the measure  $\mu$  associated to any  $\omega\in A_c^{2n}(W)$ . Then we write  $\int_W f\omega:=\int_W fd\mu$ .

For a locally integrable function f on W and  $\eta \in A^{p,q}(W)$ , we define  $[f \cdot \eta] \in D_{p,q}(W)$  by  $[f \cdot \eta](\alpha) := \int_W f \eta \wedge \alpha$  for every  $\alpha \in A_c^{n-p,n-q}(W)$ .

Chambert-Loir and Ducros proved the *Poincaré-Lelong equation* for rational functions:

**Proposition 6.10** Let f be a rational function on X which is not identically zero. Then  $\log |f|$  is a locally integrable function on  $X^{\mathrm{an}}$  and we have  $d'd''[\log |f|] = \delta_{\mathrm{div}(f)}$ .

**Proof:** See [CD12], Theorem 4.6.5.

## 7 Generalizations to analytic spaces

The final section shows how our notions fit with the paper [CD12]. While we restricted to the algebraic case, the paper of Chambert-Loir and Ducros works for arbitrary analytic spaces. We assume that the reader is familiar with the theory of analytic spaces as given in [Be93] or [Te10]. For simplicity, we assume again that K is algebraically closed, endowed with a non-trivial non-archimedean complete

absolute value | | with corresponding valuation  $v := -\log | |$  and that all occurring analytic spaces are strict in the sense of [Be93]. This situation can always be obtained by base change without changing the theory of differential forms and currents. As usual, we use the value group  $\Gamma := v(K^{\times})$ .

**7.1** Let Z be a compact analytic space over K. An analytic moment map on Z is an analytic morphism  $\varphi:Z\to T^{\mathrm{an}}$  for a split torus  $T=\mathbb{G}_m^r$  over K as before. Let M be the character group of T, then we have  $T=\mathrm{Spec}(K[M])$ . The map  $\varphi_{\mathrm{trop}}:=\mathrm{trop}\circ\varphi:Z\to N_{\mathbb{R}}$  is called the *tropicalization map* of  $\varphi$  and we may use the coordinates on T to identify  $N_{\mathbb{R}}$  with  $\mathbb{R}^r$ .

The next result shows that for the construction of differential forms in the algebraic case, we may restrict our attention to algebraic moment maps.

**Proposition 7.2** Let X be an algebraic variety over K and let  $\varphi: W \to T^{\mathrm{an}}$  be an analytic moment map defined on an open subset W of  $X^{\mathrm{an}}$ . For every  $x \in W$ , there is a very affine open subset U of X with an algebraic moment map  $\varphi': U \to T$  and an open neighbourhood V of x in  $U^{\mathrm{an}} \cap W$  such that  $\varphi_{\mathrm{trop}} = \varphi'_{\mathrm{trop}}$  on V.

**Proof:** We may assume that  $X = \operatorname{Spec}(A)$ . Similarly as in the proof of Proposition 4.16, there is a neighbourhood  $V' := \{x \in X \mid s_1 \leq |f_1(x)| \leq r_1, \ldots, s_k \leq |f_k(x)| \leq r_k\}$  of x in W with all  $f_a \in A$  and real numbers  $0 < s_a < r_a$ . We may assume that  $f_1, \ldots, f_k$  form an affine coordinate system  $y_1, \ldots, y_k$  on X. Using coordinates on  $T = \mathbb{G}_m^r$ , the moment map  $\varphi$  is given by analytic functions  $\varphi_1, \ldots, \varphi_r$  on W which restrict to strictly convergent Laurent series in  $y_1, \ldots, y_k$  on V'. Cutting the Laurent series in sufficiently high positive and negative degree, we get Laurent polynomials  $p_1, \ldots, p_r$  with  $|p_a| = |\varphi_a|$  on V' for  $a = 1, \ldots, r$ . By Proposition 4.16, there is a very affine open subset U of X such that  $U^{\operatorname{an}}$  contains x and such that  $p_1, \ldots, p_r$  define an algebraic moment map  $\varphi' : U \to T$  with  $\varphi_{\operatorname{trop}} = \varphi'_{\operatorname{trop}}$  on V'. Choosing a neighbourhood V of x in  $U^{\operatorname{an}} \cap V'$ , we get the claim.  $\square$ 

We have the following generalization of the Bieri–Groves theorem. Working with analytic spaces, the boundary  $\partial Z$  of Z becomes an issue.

Theorem 7.3 (Berkovich, Ducros) If Z is a compact analytic space over K of dimension n and if  $\varphi: Z \to T^{\mathrm{an}}$  is an analytic moment map, then  $\varphi_{\mathrm{trop}}(Z)$  is a finite union of integral  $\Gamma$ -affine polytopes of dimension at most n. Moreover,  $\varphi_{\mathrm{trop}}(\partial Z)$  is contained in a finite union of integral  $\Gamma$ -affine polytopes of dimension  $\leq n-1$ . If Z is affinoid, then  $\varphi_{\mathrm{trop}}(\partial Z)$  is equal to a finite union of such polytopes.

**Proof:** The first claim is due to Berkovich and the remaining claims are due to Ducros (see [Du12], Theorem 3.2).

**7.4** We consider now a compact analytic space Z over K of pure dimension n. Theorem 7.3 shows that the *tropical variety*  $\varphi_{\text{trop}}(Z)$  is the support of an integral Γ-affine polytopal complex in  $N_{\mathbb{R}}$ . Our next goal is to endow this complex with canonical tropical multiplicities. This will lead to the definition of a weighted polytopal complex  $(\varphi_{\text{trop}})_*(\text{cyc}(Z))$  which is canonical up to subdivision.

If  $\dim(\varphi_{\operatorname{trop}}(Z)) < n$ , then we set  $(\varphi_{\operatorname{trop}})_*(\operatorname{cyc}(Z)) = 0$  meaning that we choose all tropical weights equal to zero. It remains to consider the case  $\dim(\varphi_{\operatorname{trop}}(Z)) = n$ . We choose a generic surjective homomorphism  $q: T \to T'$  onto a split multiplicative torus  $T' = \operatorname{Spec}(K[M'])$  of rank  $n = \dim(Z)$ . Generic means that the corresponding linear map  $F := \operatorname{Trop}(q)$  is injective on every polytope contained in  $\varphi_{\operatorname{trop}}(Z)$ . By Theorem 7.3, there is an integral  $\Gamma$ -affine polytopal complex  $\mathscr C$  in  $N_{\mathbb R}$  with  $|\mathscr C| = \varphi_{\operatorname{trop}}(Z)$  such that  $F(\tau)$  is disjoint from  $(q \circ \varphi)_{\operatorname{trop}}(\partial Z)$  for every n-dimensional face

 $\sigma$  of  $\mathscr{C}$  and  $\tau := \operatorname{relint}(\sigma)$ . By passing to a subdivision, we may assume that  $F_*(\mathscr{C})$ 

is a polyhedral complex in  $N_{\mathbb{R}}'$  as in 3.9, where N' is the dual of M' as usual. We identify  $F(\tau) \subset N_{\mathbb{R}}' \cong \mathbb{R}^n$  with an open subset of the skeleton  $S((T')^{\mathrm{an}})$  as in Remark 4.5. Then it is clear that  $q \circ \varphi$  restricts to a map  $(q \circ \varphi)^{-1}(\tau) \to F(\tau)$ which agrees with  $F \circ \varphi_{\text{trop}}$  using the identification  $S((T')^{\text{an}}) = N'_{\mathbb{R}}$ . It is shown in [CD12], §2.4, that this restriction of  $q \circ \varphi$  is a finite flat and surjective morphism which means that every point p of  $F(\tau)$  has a neighbourhood W' in  $(T')^{an}$  such that  $(q \circ \varphi)^{-1}(W') \to W'$  has these properties. Using that  $F^{-1}(F(\tau)) = \coprod_{\tau'} \tau'$ , where  $\tau'$  is ranging over all open faces of  $\mathscr{C}$  with  $F(\tau') = \tau$ , we get

$$(q \circ \varphi)^{-1}(F(\tau)) = \coprod_{\tau'} \varphi_{\operatorname{trop}}^{-1}(\tau') \cap (q \circ \varphi)^{-1}(F(\tau)).$$

We conclude that the map  $\varphi_{\text{trop}}^{-1}(\tau) \cap (q \circ \varphi)^{-1}(F(\tau)) \to F(\tau)$  is finite, flat and surjective. Again, this has to be understood in some open neighbourhoods. Since au is connected, the corresponding degree depends only on au and not on the choice of p. We denote this degree by  $[\varphi_{\text{trop}}^{-1}(\tau):F(\tau)].$ 

Recall that  $N_{\sigma}$  is the canonical lattice in the affine space generated by  $\sigma$ . Then the character lattice M' of T' is of finite index in  $M_{\sigma} = \text{Hom}(N_{\sigma}, \mathbb{Z})$ .

**Definition 7.5** Using the notation from above, the tropical multiplicity  $m_{\sigma}$  along  $\sigma$  is defined by

$$m_{\sigma} := [\varphi_{\operatorname{trop}}^{-1}(\tau) : F(\tau)] \cdot [M_{\sigma} : M']^{-1}.$$

Furthermore,  $(\varphi_{\text{trop}})_*(\text{cyc}(Z))$  is the weighted polyhedral complex  $\mathscr C$  endowed with these tropical multiplicities.

**Remark 7.6** It is not so easy to show that the tropical multiplicity is well-defined, i.e. independent of the choice of q. Chambert-Loir and Ducros do not use tropical multiplicities, but the latter are equivalent to the canonical calibration introduced in [CD12], §3.5. To summarize this construction, let  $e_1, \ldots, e_n$  (resp.  $f_1, \ldots, f_n$ ) be a basis of M' (resp.  $M_{\sigma}$ ). Then the canonical calibration of  $\sigma$  is defined as

$$[\varphi_{\operatorname{trop}}^{-1}(\tau):F(\tau)]\cdot (F|_{(N_{\sigma})_{\mathbb{R}}})^{*}(e_{1}\wedge\cdots\wedge e_{n})\in\Lambda^{n}((N_{\sigma})_{\mathbb{R}})$$

together with the orientation induced by the pull-back of  $e_1, \ldots, e_n$  with respect to the linear isomorphism  $F|_{(N_{\sigma})_{\mathbb{R}}}$ . The canonical calibration is equal to the calibration  $m_{\sigma}f_1 \wedge \cdots \wedge f_n$  together with the orientation induced by  $f_1, \ldots, f_n$ . Since the canonical calibration does not depend on the choice of q up to refinement ([CD12], §3.5), the same is true for the tropical multiplicities.

Remark 7.7 One can define the irreducible components of an analytic space (see [Con99]). A compact analytic space Z has finitely many irreducible components  $Z_i$ . Then we define the cycle  $\operatorname{cyc}(Z)$  associated to Z as a positive formal  $\mathbb{Z}$ -linear combination of the irreducible components  $Z_i$  by restriction to affinoid subdomains and then by glueing (see [Gu98], §2). One can show that the weighted n-dimensional polyhedral complex  $(\varphi_{\text{trop}})_*(\text{cyc}(Z))$  depends only on cyc(Z) and this dependence is linear. We leave the details to the reader.

The next result shows that the Sturmfels–Tevelev multiplicity formula holds for analytic spaces.

**Proposition 7.8** Let Z be a compact analytic space over K of pure dimension n, let  $\varphi: Z \to T^{\mathrm{an}}$  be an analytic moment map and let  $\psi: T \to T'$  be an affine homomorphism of tori. Then we have

$$\operatorname{Trop}(\psi)_*((\varphi_{\operatorname{trop}})_*(\operatorname{cyc}(Z))) = ((\psi \circ \varphi)_{\operatorname{trop}})_*(\operatorname{cyc}(Z)).$$

**Proof:** The corresponding statement for canonical calibrations is shown in [CD12], Lemma 3.5.2, and hence the claim follows from Remark 7.6.

**Proposition 7.9** Let Z be a compact analytic space over K of pure dimension n and let  $\mathscr C$  be the same integral  $\Gamma$ -affine polytopal complex with support  $\varphi_{\text{trop}}(Z)$  as in 7.4. Then for every (n-1)-dimensional polyhedron  $\rho$  of  $\mathscr C$  not contained in  $\varphi_{\text{trop}}(\partial Z)$ , the balancing condition

$$\sum_{\sigma \in \mathscr{C}_n, \, \sigma \supset \rho} m_{\sigma} \omega_{\rho, \sigma} \in N_{\rho}$$

from 3.7 holds in  $\rho$ .

**Proof:** Chambert-Loir and Ducros prove in [CD12], Theorem 3.6.1, that  $\rho$  is harmonious in  $\mathscr C$  which is a condition for the canonical calibration equivalent to the balancing condition by Remark 7.6.

**7.10** In an algebraic setting, our goal is to compare the tropical multiplicities introduced in 4.7 with the ones from Definition 7.5. Let us consider an algebraic variety X over K of dimension n and an algebraic moment  $\operatorname{map} \varphi: X \to T = \operatorname{Spec}(K[M]) \cong \mathbb{G}_m^r$  over K. Note that  $\varphi_{\operatorname{trop}}(X^{\operatorname{an}}) = \operatorname{Trop}(\varphi(X))$ . We endow  $\varphi_{\operatorname{trop}}(X^{\operatorname{an}})$  with the tropical multiplicities  $m_{\sigma}^{\operatorname{alg}}$  of the tropical cycle  $\operatorname{Trop}(\varphi_*(X)) := \operatorname{deg}(\varphi)\operatorname{Trop}(\overline{\varphi(X)})$  of  $N_{\mathbb{R}}$ .

The analytification  $X^{\mathrm{an}}$  is not compact (unless n=0), but as  $\partial X=\emptyset$ , we can define tropical multiplicities in the same analytic manner as in Definition 7.5. This means that we choose a generic projection  $q:T\to T'=\mathrm{Spec}(K[M'])$  onto a torus T' of rank n and an integral  $\Gamma$ -affine polyhedral complex  $\mathscr C$  with support equal to  $\varphi_{\mathrm{trop}}(X^{\mathrm{an}})$  such that  $F_*(\mathscr C)$  is a polyhedral complex on  $N'_{\mathbb R}$  for the associated linear map  $F:N_{\mathbb R}\to N'_{\mathbb R}$ . For every  $\sigma\in\mathscr C_n$  and  $\tau:=\mathrm{relint}(\sigma)$ , we define

$$m_{\sigma}^{\text{an}} := := [\varphi_{\text{trop}}^{-1}(\tau) : F(\tau)] \cdot [M_{\sigma} : M']^{-1}$$

as in Definition 7.5. Since the tropical multiplicities  $m^{\rm alg}$  and  $m^{\rm an}$  are compatible with subdivision, we may assume that the underlying integral  $\Gamma$ -affine polyhedral complex  $\mathscr C$  is the same in both definitions.

Now we are ready to compare these two tropical multiplicities.

**Proposition 7.11** Let  $\varphi: X \to T$  be an algebraic moment map and  $n := \dim(X)$ . Using the notations from above, we have  $m_{\sigma}^{\text{an}} = m_{\sigma}^{\text{alg}}$  for every  $\sigma \in \mathscr{C}_n$ .

**Proof:** The following argument is quite close to the proof of the Sturmfels–Tevelev formula given by Baker, Payne and Rabinoff (see [BPR11], Theorem 8.2). We may assume that  $\varphi$  is generically finite, otherwise  $\varphi_{\text{trop}}(X^{\text{an}})$  has dimension < n and all tropical multiplicities are zero. Let Y be the closure of  $\varphi(X)$  in T and let  $q: T \to T'$  be a generic homomorphism onto a split torus  $T' = \operatorname{Spec}(K[M'])$  of rank n with associated linear map  $F: N_{\mathbb{R}} \to N'_{\mathbb{R}}$ . There is an open dense subset U of Y such that  $\varphi$  is finite over U. Since the tropical multiplicities  $m^{\text{an}}$  and  $m^{\text{alg}}$  are compatible with subdivision of the polyhedral complex  $\mathscr{C}$ , we may assume that  $\operatorname{Trop}(Y \setminus U)$  is contained in the support of  $\mathscr{C}_{n-1}$ .

Let Y' be the closure of q(Y) in T' and let  $\omega \in \tau \cap N_{\Gamma}$ . We consider the affinoid subdomains  $U_{\omega} := \operatorname{trop}^{-1}(\omega)$  in  $T^{\operatorname{an}}$  and  $U'_{\omega'} := \operatorname{trop}^{-1}(\omega')$  in  $(T')^{\operatorname{an}}$ . By finiteness of  $\varphi$  over U, the set  $X_{\omega} := (\varphi^{\operatorname{an}})^{-1}(U_{\omega}) = \varphi^{-1}_{\operatorname{trop}}(\omega)$  is an affinoid subdomain of  $X^{\operatorname{an}}$  and  $\varphi$  restricts to a finite morphism  $X_{\omega} \to Y_{\omega} := Y^{\operatorname{an}} \cap U_{\omega}$ . Let  $\mathscr{X}_{\omega}, \mathscr{Y}_{\omega}, \mathscr{U}_{\omega}, \mathscr{U}'_{\omega'}$  be the canonical formal affine  $K^{\circ}$ -models of  $X_{\omega}, Y_{\omega}, U_{\omega}, U'_{\omega'}$  associated to the algebra

of power bounded elements in the corresponding affinoid algebra. Moreover, let  $\overline{Y_{\omega}}$  be the closure of  $Y_{\omega}$  in  $\mathscr{U}_{\omega}$ . Then we have canonical morphisms

$$\mathscr{X}_{\omega} \xrightarrow{\varphi} \mathscr{Y}_{\omega} \xrightarrow{\iota} \overline{Y_{\omega}} \xrightarrow{q} \mathscr{U}_{\omega'}' \tag{4}$$

of admissible formal affine schemes over  $K^{\circ}$  in the sense of Bosch, Lütkebohmert and Raynaud (see [BL93], §1). We claim that all these morphisms are finite and surjective. Obviously, the generic fibres of the first and second morphism are finite and surjective. To see that the generic fibre of the third morphism is finite, we note first that  $F^{-1}(\omega') \cap \operatorname{Trop}(Y)$  is finite by construction of q and hence  $q^{-1}(U'_{\omega'}) \cap Y^{\operatorname{an}}$  is in the relative interior of an affinoid subdomain of  $T^{\operatorname{an}}$  which is contained in  $q^{-1}(U'_{\omega'})$ . We conclude that  $q^{-1}(U'_{\omega'}) \cap Y^{\operatorname{an}} \to U'_{\omega'}$  is a proper map (see the proof of Theorem 4.31 in [BPR11] for more details about the argument). Since  $q^{-1}(U'_{\omega'}) \cap Y^{\operatorname{an}}$  is the disjoint union of the finitely many affinoids  $U_{\rho} \cap Y^{\operatorname{an}}$ ,  $\rho \in F^{-1}(\omega') \cap \operatorname{Trop}(Y)$ , we conclude that q induces a proper morphism  $Y_{\omega} \to U'_{\omega'}$  of affinoids. By Kiehl's direct image theorem ([BGR84], Theorem 9.6.3/1), this morphism is finite and hence also surjective using dimensionality arguments. We conclude that all three morphisms in (4) are surjective and finiteness follows from [BPR11], Proposition 3.13.

The degree  $[X_{\omega}: U'_{\omega'}]$  of  $X_{\omega}$  over the affinoid torus  $U'_{\omega'}$  is well-defined as  $U'_{\omega'}$  is irreducible (see [BPR11], Section 3, for a discussion of degrees). Since the degree does not change by passing to an affinoid subdomain of  $U'_{\omega'}$  (see [BPR11], Proposition 3.30), we get

$$[\varphi_{\text{trop}}^{-1}(\tau):F(\tau)] = [X_{\omega}:U'_{\omega'}]. \tag{5}$$

The projection formula ([BPR11], Proposition 3.32) shows

$$[X_{\omega}: U'_{\omega'}] = \sum_{B} [B: (\mathscr{U}'_{\omega'})_s] = \sum_{B} [B: (\mathbb{G}_m^n)_{\tilde{K}}],$$
 (6)

where B ranges over all irreducible components of  $(\mathcal{X}_{\omega})_s$ . We conclude from (5) and (6) that

$$[\varphi_{\operatorname{trop}}^{-1}(\tau):F(\tau)] = \sum_{C} \sum_{B \text{ over } C} [B:C] \cdot [C:(\mathbb{G}_m^n)_{\tilde{K}}], \tag{7}$$

where C ranges over all irreducible components of  $(\overline{Y_{\omega}})_s$  and B ranges over all irreducible components of  $(\mathscr{X}_{\omega})_s$  mapping onto C. Since the special fibre of  $\overline{Y_{\omega}}$  is isomorphic to the initial degeneration in<sub> $\omega$ </sub>(Y), all irreducible components C are isomorphic to the torus  $\operatorname{Spec}(\check{K}[M_{\sigma}])$  (see [BPR11], Theorem 4.29) proving

$$[C: (\mathbb{G}_m^n)_{\tilde{K}}] = [M_\sigma: M']. \tag{8}$$

Using (7) and (8), we get

$$m_{\sigma}^{\rm an} = [\varphi_{\rm trop}^{-1}(\tau) : F(\tau)] \cdot [M_{\sigma} : M']^{-1} = \sum_{C} \sum_{B \text{ over } C} [B : C].$$
 (9)

Since  $X_{\omega}$  is the preimage of the affinoid subdomain  $Y_{\omega}$  of  $T^{\rm an}$ , we deduce from [BPR11], Proposition 3.30, that  $X_{\omega}$  is of degree  $\deg(\varphi)$  over  $Y_{\omega}$  and hence the projection formula again shows the equality

$$\deg(\varphi)\operatorname{cvc}((\overline{Y_{\iota\iota}})_{\mathfrak{s}}) = (\iota \circ \varphi)_{\mathfrak{s}}(\operatorname{cvc}((\mathscr{X}_{\iota\iota})_{\mathfrak{s}})) \tag{10}$$

of cycles in  $(\mathcal{U}_{\omega})_s$ . Inserting (10) in (9) by using that the special fibre of  $\mathscr{X}_{\omega}$  is reduced, we get

$$m_{\sigma}^{\rm an} = \deg(\varphi) \sum_{C} m(C, (\overline{Y_{\omega}})_s),$$

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where  $m(C, (\overline{Y_{\omega}})_s)$  is the multiplicity of the irreducible component C in the special fibre of  $\overline{Y_{\omega}}$ . By definition, the right hand side is equal to  $m_{\sigma}^{\text{alg}}$  which proves the claim.

Remark 7.12 Note that in the algebraic case, Proposition 7.11 yields that the tropical multiplicities in Definition 7.5 are well-defined, i.e. independent of the choice of the generic projection q. Moreover, the argument of Chambert-Loir and Ducros for Proposition 7.9 gives a new proof for the classical balancing condition for tropical varieties which is based mainly on degree considerations.

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